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# Uncertainty analysis of UAM TMI-1 benchmark by STREAM/RAST-K

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## ABSTRACT

This study rigorously examined uncertainty in the TMI-1 benchmark within the Uncertainty Analysis in Modeling (UAM) benchmark suite using the STREAM/RAST-K two-step method. It presents two pivotal advancements in computational techniques: (1) Development of an uncertainty quantification (UQ) module and a specialized library for the pin-based pointwise energy slowing-down method (PSM), and (2) Application of Principal Component Analysis (PCA) for UQ. To evaluate the new computational framework, we conducted verification tests using SCALE 6.2.2. Results demonstrated that STREAM's performance closely matched SCALE 6.2.2, with a negligible uncertainty discrepancy of ±0.0078% in TMI-1 pin cell calculations. To assess the reliability of the PSM covariance library, we performed verification tests, comparing calculations with Calvik's two-term rational approximation (EQ 2-term) covariance library. These calculations included both pin-based and fuel assembly (FA-wise) computations, encompassing hot zero-power and hot full-power operational conditions. The uncertainties calculated using both the EQ 2-term and PSM resonance treatments were consistent, showing a deviation within ±0.054%. Additionally, the data compression process yielded compression ratios of 88.210% and 92.926% for on-the-fly and data-saving approaches, respectively, in TMI fuel assembly calculations. In summary, this study provides a comprehensive explanation of the PCA process used for UQ calculations and offers valuable insights into the robustness and reliability of newly developed computational methods, supported by rigorous verification tests.

#### **1. Introduction**

This paper conducts a comprehensive uncertainty analysis of the TMI-1 benchmark, a component of the Uncertainty Analysis in Modeling (UAM) benchmark suite, utilizing the STREAM/RAST-K two-step method  $[1-3]$  $[1-3]$ . This study introduces two significant innovations: (1) the generation of a covariance library tailored for the pin-based pointwise energy slowing-down method (PSM) [\[4\]](#page-10-0), and (2) the integration of Principal Component Analysis (PCA) for Uncertainty Quantification (UQ) [[5,6\]](#page-10-0). To validate these advancements, we undertook various verification exercises using SCALE 6.2.2 as a reference and leveraged its covariance library [\[7\]](#page-10-0). To obtain a more holistic solution, this study further incorporates ENDF/B-VII.1 covariance data [[8\]](#page-10-0).

UQ is pivotal in a range of applications, such as safety analysis, licensing procedures, and design development. For example, the UQ plays a critical role in defining safety criteria, such as the upper safety limit in burnup credit calculations, which in turn influences the design of spent nuclear fuel casks and pools [[9](#page-10-0)]. Additionally, the UQ helps establish confidence intervals in transient safety analyses such as rod ejection scenarios. This work aligns with broader initiatives, such as the UAM benchmark developed by the Expert Group on Uncertainty Analysis in Modeling (EGUAM) under the aegis of the Working Party on Scientific Issues in Reactor Systems (WPRS). The UAM benchmark aims to quantify the modeling uncertainties in reactor systems under both steady-state and transient conditions [\[1\]](#page-10-0).

In this study, with an aim to offer compatible solutions for the UAM benchmark, we employed the Method of Characteristics (MOC) code STREAM and a two-step code system, STREAM/RAST-K. This initiative involved participation of 19 computational codes across 15 countries [[1](#page-10-0)]. To keep pace with these developments, we have designed an in-house UQ calculation module [[9,10](#page-10-0)]. The effectiveness of this module was substantiated through verification against the UAM benchmark and

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<span id="page-1-0"></span>



comparison with the results generated using SCALE 6.2.2.

Furthermore, we discuss data compression techniques using PCA because efficient data management is vital in computational processes, particularly for memory optimization [\[5,6\]](#page-10-0). PCA offers several advantages over other compression methods such as xz, including memory conservation and the ability to use direct data [\[11](#page-10-0)]. In neutronic physics, PCA has been applied to compress a variety of datasets such as pin-by-pin burnup information and perturbed neutronics data, as shown in previous studies  $[5,12,13]$  $[5,12,13]$  $[5,12,13]$  $[5,12,13]$ . The novelty of this study lies in the direct application of the compressed data for both calculations and data storage. Previous research indicated that PCA can achieve truncation errors within 0.01% and a compression ratio exceeding 90% [\[5\]](#page-10-0), reinforcing its applicability and efficiency in the UQ context.

The remainder of this paper is organized as follows: Section 2 offers an exhaustive description of the calculation procedures, the generated PSM covariance library, and the application of PCA in the two-step method. Section [3](#page-5-0) outlines the criteria used in the UAM benchmark for validation. Section [4](#page-5-0) presents a detailed analysis of the UAM benchmark results, contrasting the computational capabilities of STREAM with those of both the PSM and Calvik's two-term rational approximation [14–[16\]](#page-10-0) (EQ 2-term) covariance libraries. This section elaborates on PCA-based calculations.

## **2. Code system**

In this study, UQ was executed using STREAM and STREAM/RAST-K two-step methods. Within the STREAM framework, perturbations were introduced into neutronics data. During the STREAM/RAST-K two-step computation, STREAM generates few-group constants based on perturbed cross-sectional data, which RAST-K then uses for 3D core simulations. This two-step approach has undergone rigorous verification and validation in commercial reactors, including the OPR-1000, APR-1400, and Westinghouse 3-loop reactors [\[17](#page-10-0)].

Perturbation of the neutronics data occurs between the cross-



**Fig. 1.** Flow chart of perturbed data.

Isotope list perturbed by ENDF/B-VII.1 covariance data.



sectional data retrieval and resonance treatment steps within STREAM. In earlier versions of the UQ module, the resonance treatment supported only EQ 2-term method due of the absence of a PSM covariance library. However, previous research makes it evident that Ref. [\[4\]](#page-10-0), PSM provides superior accuracy compared with EQ 2-term approach. To augment the computational precision of the lattice code calculations and expand the utility of the developed UQ module, we introduced a covariance library and a perturbation module specifically designed for PSM. The NJOY-2016 code [\[18](#page-10-0)] was used to generate a library based on the ENDF/B-VII.1 database [\[8\]](#page-10-0). To generate covariance libraries, we utilized both a 72-energy group structure and 10,000-energy group structure. EQ 2-term covariance library relies on a 72-energy group structure for 14 different neutronic reactions, as listed in [Table 1.](#page-1-0) The categorization of MT and LF complied with the ENDF-6 manual [[19\]](#page-10-0). During the perturbation analysis, the study does not consider the correlated effects between different isotopes, such as the relationship between  $^{235}$ U ( $v$ ) and  $^{238}$ U ( $\nu$ ).

EQ 2-term approach incorporates equivalence theory and Carlvik's two-term rational approximation  $[14,15]$  $[14,15]$ . The term "2-term" refers to the utilization of Carlvik's two terms to determine the Dancoff factor, as outlined in the literature [\[15,16](#page-10-0)]. Conversely, PSM employs a pin-based pointwise slowing-down methodology for resonance treatment, with the core idea centered on subdivided isolated fuel pins. Implementing the PSM involves a three-step procedure to approximate the collision probabilities: (1) The collision probability from points *i* and j within the subdivided isolated fuel pin is calculated using the Collision Probability Method solver [[4\]](#page-10-0); (2) The Dancoff factor is determined through Carlvik's two-term rational approximation and the neutron-enhanced neutron current method [\[4](#page-10-0)], which also yields the shadowing effect correction factor. This factor represents the ratio of fuel escape probabilities between an isolated fuel pin and a pin within a lattice; (3) This shadowing effect correction is subsequently applied, resulting in adjusted escape and collision probabilities.

#### *2.1. Stochastic sampling method*

The STREAM/RAST-K two-step method features an UQ module designed using a stochastic sampling technique, as illustrated in [Fig. 1](#page-1-0). Perturbation analysis is performed with covariance library generated by NJOY-2016. Following NJOY calculations, the processed data were further refined using this covariance library. Various computational tools, including NJOYCOVX [[20\]](#page-10-0), CADILLAC [\[7\]](#page-10-0), and COGNAC [\[7\]](#page-10-0) have been employed for these calculations, as demonstrated in a previous study [\[16](#page-10-0)]. For the perturbation analysis, singular value decomposition (SVD) was applied to 182 isotopes by using Equations (1) and (2). Detail isotope list is illustrated in Table 2 [\[9,10](#page-10-0)]. Equations (1) and (2) are for perturbation analysis with 72-energy group structure and 10,000-energy group structure, respectively. The matrix sizes of Equations (1) and (2) are defined as 1,008 by 1,008 and 30,000 by 30,000, respectively. Here, 1,008 is determined by multiplying 72 (the number of energy groups) by 14 (the number of reactions considered in uncertainty quantification). These isotopes were selected from the ENDF/B-VII.1 covariance library, a list also referenced in previous studies [\[9,10,16\]](#page-10-0).

$$
C = \begin{bmatrix} c_{1,1} & c_{1,2} & \cdots & c_{1,11} & O & O & O \\ c_{1,2}^T & c_{2,2} & \vdots & \vdots & \vdots & \vdots & \vdots \\ \vdots & \cdots & \ddots & c_{10,11} & O & O & O \\ c_{1,11}^T & \cdots & c_{10,11}^T & c_{11,11} & O & O & O \\ O & \cdots & O & O & \overline{\nu}_p & O & O \\ O & \cdots & O & O & O & \overline{\nu}_d & O \\ O & \cdots & O & O & O & O & \overline{\nu}_d \end{bmatrix}
$$
(1)  

$$
C_{PSM} = \begin{bmatrix} c_{FSM,1,1} & c_{FSM,1,2} & c_{FSM,1,3} \\ c_{FSM,1,2}^T & c_{FSM,1,2} & c_{FSM,2,3} \\ c_{FSM,1,3}^T & c_{FSM,2,3}^T & c_{FSM,2,3} \\ c_{FSM,1,3}^T & c_{FSM,2,3}^T & c_{FSM,3,3}^T \end{bmatrix}
$$
(2)

where **O** is a zero matrix of size  $72 \times 72$ . The matrices of **C** and  $C_{PSM}$  are covariance matrices for EQ 2-term and PSM methods, respectively. The notation of  $c_{I_1,I_2}$  and  $c_{PSM,I_1,I_2}$  are the covariance data matrices among  $I_1$ cross section and  $I_2$  cross-sections and is a  $72 \times 72$  size matrix and 10,000  $\times$  10,000 size matrix. The formats of  $I_1$  and  $I_2$  are generated using *X*. Notation *X* corresponds to the reaction indices presented in [Table 1.](#page-1-0) By applying the singular value decomposition relationship, the covariance matrix can be defined as shown in Equation  $(3)$ . Equation  $(4)$ is utilized for the perturbation of cross-section data.

$$
\begin{cases}\nC = U\Sigma U^T = AA^T \text{ (EQ 2 – term)}\\ C_{PSM} = U_{PSM} U_{PSM}^T = A_{PSM} A_{PSM}^T \text{ (PSM)}\n\end{cases}
$$
\n(3)

$$
\begin{cases}\nA = U\sqrt{\Sigma} \left( \text{EQ } 2 - \text{term} \right) \\
A_{PSM} = U_{PSM} \sqrt{\Sigma_{PSM}} \left( \text{PSM} \right)\n\end{cases} \tag{4}
$$

where  $\Sigma$ , **U**,  $\Sigma_{PSM}$ , and  $\mathbf{U}_{PSM}$  are the outcomes of the SVD process applied to the covariance matrices. The SVD process is executed using a Python script [[21\]](#page-10-0). The matrices  $\Sigma$  and **U** are of size 72  $\times$  72, and the matrices  $\sum_{PSM}$  and  $\bf{U}_{PSM}$  are of size  $10,000 \times 10,000$ . The matrices **A** and  $\bf{A}_{PSM}$  are utilized in the STREAM perturbation process. To decrease the loading time of the A<sub>PSM</sub> matrix, the HDF5 file format is employed. Equation (5) implemented in STREAM to perturb the cross-sectional data with EQ 2-term resonance treatment and PSM resonance treatment [[16,22](#page-10-0)]. Matrix **A** and **Apsm** are calculated by SVD of covariance matrices [\[23](#page-10-0)]. To reduce the reading time of A<sub>PSM</sub> matrix, HDF5 [[24\]](#page-10-0) file format is used.

$$
\begin{cases}\n x = A \cdot z + \mu \left( \text{EQ } 2 - \text{term} \right) \\
 x = A_{PSM} \cdot z + \mu \left( \text{PSM} \right)\n \end{cases}
$$
\n(5)

where *z* is a matrix containing the standard normal random numbers, and  $\mu$  contains the mean variable [\[22,24](#page-10-0)]. Notation of  $x$  is perturbed cross-section data. The yellow section indicates the perturbation process in STREAM. To address the occurrence of negative cross sections during the perturbation process, a zero-cutoff option was applied, in line with previous UQ studies [[25,26\]](#page-10-0). The Latin Hypercube Sampling (LHS) method was employed to generate random numbers with Box-Muller transform denoted as Equation (6) [\[9](#page-10-0),[10,27\]](#page-10-0).

$$
\begin{cases} z_1 = \sqrt{-2\ln \xi_1} \sin(2\pi \xi_2) \\ z_2 = \sqrt{-2\ln \xi_1} \cos(2\pi \xi_2) \end{cases}
$$
 (6)

where the  $z_1$  and  $z_2$  are random numbers conforming to the normal distribution, while  $\xi_1$  and  $\xi_2$  are random numbers following a uniform distribution within the range of 0–1. [Fig. 1](#page-1-0) presents the detailed progress of the UQ. The fission spectrum was normalized after the perturbation process [\[16](#page-10-0)]. Generation progress of covariance library has been verified with Los Alamos National Lab (LANL) results with comparable accuracy [[16,28](#page-10-0)].

To assess the capability of the covariance library generation method



**Fig. 2.** Cross-section uncertainty ( $\Delta \sigma / \sigma$ ) of <sup>235</sup>U as a function of energy.



**Fig. 3.** Cross-section uncertainty ( $\Delta \sigma / \sigma$ ) of <sup>239</sup>Pu as a function of energy.

used in this study, a comparison was made with a covariance library generated by LANL [[29\]](#page-10-0). Figs. 2 and 3 show the relative differences in isotope uncertainty between this study (UNIST) and LANL [[29\]](#page-10-0). For comparison, main isotopes that contribute significantly to uncertainty were selected: <sup>235</sup>U at the initial state and <sup>239</sup>Pu in the case of generated fissile material. The notations (n, tot.), (n, inel.), (n, f), (n, *γ*), (n, 2n), and (n, el.) represent the total cross-section, inelastic scattering cross-section, fission cross-section, capture cross-section, production of two neutrons, and elastic scattering cross-section, respectively. These figures demonstrate that the covariance library generated in this study has comparable accuracy to the LANL data.

## *2.2. PCA method*

This section presents the PCA method for data compression. PCA operates as a compression methodology grounded in linear algebra, as

<span id="page-4-0"></span>

**Fig. 4.** Radial configuration of the TMI-1 FA and pin cell model.

**Table 3**  Specification of TMI-1.

Parameter	Value	Unit	Parameter	Value	Unit
Number of fuel rod	208		Fuel temperature	900	K
Number of guide tube	16		Moderator temperature	562	K
FA pitch	21.811	cm	Boron concentration	$\Omega$	ppm
Pin pitch	1.4427	cm	Fuel radius	0.46950	cm
Number of Instrument tube	1		Air gap outer radius	0.47880	cm
Cladding outer radius	0.54610	cm	Instrument tube inner radius	0.56005	cm
Guide tube inner radius	0.63245	cm	Instrument tube outer radius	0.62610	cm
Guide tube outer radius	0.67310	cm	FA dimensions	$15 \times 15$	

HZP and HFP conditions for TMI-1 benchmark calculation.

	<b>HFP</b>	H <sub>7</sub> P
Fuel temperature [K]	900	551
Moderator temperature [K]	562	551
Boron concentration [ppm]		$\Omega$

#### **Table 5**

Multiplication factor summary of TMI-1 benchmark calculation with scale 6.2 covariance library.



<sup>a</sup> Average of 500 perturbed keff.

depicted in the process flowchart shown in [Fig. 1](#page-1-0). Compression activity occurred between the STORA and RAST-K calculations. Specifically, the compression process involved three main steps: (1) data file compression using PCA, (2) application of the HDF5 format, and (3) utilization of

**Table 6**  TMI Pin cell  $(\triangle k/k$  [%]) with SCALE 6.2 covariance library.

Code	<b>HFP</b>				H <sub>7</sub> P		
		<b>SCALE 6.2.2</b>		<b>SCALE 6.2.2</b>		<b>STREAM</b>	
Group Reaction	252G	56G	72G	252G	56G	72G	
$^{235}U(\nu)$	0.3405	0.3404	0.3404	0.3418	0.3417	0.3417	
<sup>238</sup> U $(n, \gamma)$	0.2821	0.2950	0.2904	0.2723	0.2834	0.2778	
$^{235}\text{U}\left(n,\gamma\right)$	0.1964	0.1962	0.1945	0.1960	0.1959	0.1941	
$^{235}\text{U}\left(\chi\right)$	0.1558	0.1617	0.1540	0.1497	0.1556	0.1477	
$^{238}U(n, n')$	0.1173	0.1186	0.1196	0.1103	0.1115	0.1125	
$^{235}U(n,f)$	0.0766	0.0764	0.0792	0.0768	0.0766	0.0792	
$^{238}$ U ( $\nu$ )	0.0713	0.0716	0.0696	0.0694	0.0697	0.0677	
$^{235}U(n, n')$	0.0014	0.0014	0.0015	0.0013	0.0013	0.0014	

the xz format. Truncated SVD is used for PCA. The recovered matrix, denoted as **Brecover**, is defined as the product of matrices, **V**, **PC**, and **mu**  added to matrix **Z**, as indicated in Equation (7) [\[16](#page-10-0)]. The matrix sizes of  $V$  ,  $PC$  ,  $mu$  , and  $Z$  are defined as  $N_{sample} \times N_{PC}$  ,  $N_{PC} \times N_{PC}$  ,  $1 \times N_{PC}$  , and  $N_{sample} \times N_{z\_profile\_parameter},$  respectively. Here,  $N_{PC}$  represents the number of principal components (PCs), and Nsample is the number of perturbed samples. N<sub>PC</sub> denotes the number of few-group constants generated by STREAM in one burnup step, which is defined as 444 for cross-section data at a specific burnup step. The **Z** profile matrix is defined as a sparse matrix, as it contains only  $N_{z\_profile\_parameter}$  elements of  $N_{PC}$ . This approach is adopted to save memory, given that the matrix includes only a small number of elements. This **Brecover** is used for decompression progress [[16\]](#page-10-0).

$$
B_{\text{recover}} = V \times PC + mu + Z \tag{7}
$$

where **V** is the reduced matrix and **PC** is a matrix that contains the principal components, with the default option being 30 in this study [[16\]](#page-10-0). Matrix **mu** is the average matrix of original matrix **B** [[16\]](#page-10-0). Matrix **Z**  is the zero profile and has been developed to reduce the calculation error [[16\]](#page-10-0). The decompression process takes only a few seconds, which means the time required for decompression can be considered negligibly small. The ramifications of such data loss on the UQ are assessed in Section [4.3](#page-8-0), where verification is performed using the TMI-1 benchmark.

<span id="page-5-0"></span>

**Fig. 5.** Uncertainty of eight reactions with TMI-1 pin cell, scale 6.2 covariance data (56 group data).

Summary of TMI-1 pin and FA results with ENDF/B-VII.1 covariance library.



\* *keff* is average of 500 perturbed keff.

**Table 8**  TMI-1 pin  $(\triangle k/k$  [%]) with ENDF/B-VII.1 covariance library.

Condition	<b>HFP</b>		H <sub>7</sub> P	
Case Reaction	<b>PSM</b>	EO 2-term	<b>PSM</b>	EO 2-term
$^{235}$ U ( $\nu$ )	0.6062	0.6066	0.6087	0.6092
<sup>238</sup> U $(n, \gamma)$	0.2986	0.2924	0.2830	0.2802
$^{235}$ U $(n, \gamma)$	0.1854	0.1900	0.1887	0.1895
$^{235}U(y)$	0.1681	0.1688	0.1641	0.1618
<sup>238</sup> U $(n, n')$	0.1241	0.1247	0.1168	0.1172
<sup>235</sup> U $(n, f)$	0.0726	0.0768	0.0720	0.0770
$^{238}$ U $(\nu)$	0.0729	0.0731	0.0710	0.0711

**Table 9** 

TMI-1 FA  $(\triangle k/k$  [%]) with ENDF/B-VII.1 covariance library.

Condition	<b>HFP</b>		H <sub>7</sub> P	
Case Reaction	<b>PSM</b>	EO 2-term	<b>PSM</b>	EQ 2-term
$^{235}U(\nu)$	0.6304	0.6309	0.6328	0.6333
<sup>238</sup> U $(n, \gamma)$	0.2720	0.2710	0.2576	0.2599
$^{235}$ U $(n, \gamma)$	0.1896	0.1919	0.1893	0.1919
$^{235}$ U ( $\chi$ )	0.1507	0.1514	0.1449	0.1455
$^{238}$ U $(n, n')$	0.1040	0.1045	0.0981	0.0986
$^{235}$ U $(n, f)$	0.0759	0.0815	0.0771	0.0816
$^{238}$ U $(\nu)$	0.0616	0.0617	0.0600	0.0601

## **3. Description of benchmark problems**

This section provides detailed specifications for the TMI-1 benchmarks, as referenced in Refs. [[1](#page-10-0)[,30](#page-11-0)]. [Fig. 4](#page-4-0) shows the layout of the TMI-1 Fuel Assembly (FA) and the individual pin layouts. Subplot (a) presents the un-rodded TMI-1 FA model, while subplots (b) and (c) show the  $UO<sub>2</sub>$ fuel and gadolinia pins, respectively. Both the models were used for the calculations. The comprehensive geometric details are presented in

#### [Table 3](#page-4-0) [[30\]](#page-11-0).

In the verification phase of the perturbation module integrated into STREAM, the TMI-1 pin model serves as a comparative baseline against SCALE 6.2.2, as discussed in Section 4.1. To validate the PSM covariance library within STREAM, calculations were conducted using both the pin and FA models, as described in Section [4.2](#page-8-0). These calculations utilized the ENDF/B-VII.1 covariance library, and the outcomes were compared with those obtained via both EQ 2-term and PSM methodologies. During the evaluation of PCA in UQ, the FA model was specifically used, with additional details provided in Section [4.3.](#page-8-0)

#### **4. Results and discussion**

This section presents the calculation results obtained using the TMI-1 benchmark. Section 4.1 focuses on verifying the newly developed perturbation module by comparing it with SCALE 6.2.2, which is widely acknowledged for its utility in analyzing neutronic data uncertainties within the UAM benchmark  $[31-35]$  $[31-35]$  and serves as the reference for a code-to-code comparison. During this verification process, the UQ module integrated into STREAM uses TSUNAMI [[36\]](#page-11-0) as its counterpart in the SCALE 6.2.2. TSUNAMI computes uncertainties based on the Generalized Perturbation Theory [\[7\]](#page-10-0). For the calculations, 500 perturbed cross-sectional datasets were used to consult the previous studies [[9](#page-10-0),[10,](#page-10-0)[37\]](#page-11-0). STREAM utilizes a stochastic sampling method and leverages the ENDF/B-VII.1 neutronics library [[8\]](#page-10-0) along with the SCALE 6.2 covariance library [\[7](#page-10-0)].

Sections [4.2 and 4.3](#page-8-0) are predicated the prediction using the ENDF/B-VII.1 covariance library. Section [4.2](#page-8-0) presents the verification of the UQ module incorporating PSM, which was conducted in parallel with EQ 2 term calculation module. In Section [4.3](#page-8-0), the computational efficiency of PCA in UQ is examined in the context of a two-step method. During verification, the computational efficacy of the two-step method was evaluated by juxtaposition with the UQ module of STREAM.

## *4.1. Verification of UQ module with SCALE 6.2.2 and SCALE 6.2 covariance library*

This section outlines the verification process for the newly developed UQ calculation scheme using the TMI-1 benchmark as a standard. The verification involved a code-to-code comparison with the widely-used SCALE 6.2.2 software. This paper specifically focusses on calculations based on the TMI-1 UO<sub>2</sub> pin-cell model under two distinct operational conditions: Hot Zero Power (HZP) and Hot Full Power (HFP). The detailed parameters of these conditions are listed in [Table 4.](#page-4-0)

Eight nuclear reactions were considered in these calculations. These reactions include nu-bar (ν), capture cross-section (n,  $\gamma$ ), fission

<span id="page-6-0"></span>

**Fig. 6.** Uncertainty compared with PSM and EQ 2-term resonance treatment, ENDF/B-VII.1 covariance library.



**Fig. 7.** Verification of the ST/RK results compared with STREAM.

<span id="page-7-0"></span>

**Fig. 8.** Average of the samples with TMI-1 benchmark.



**Fig. 9.** Depletion as a function of burnup.







*a* mode provides the on-the-fly calculation; *b* mode provides just for save the parameters.

spectrum  $(\gamma)$ , inelastic scattering  $(n, n')$ , and fission cross-section  $(n, f)$ . [Table 4](#page-4-0) lists the uncertainties attributed to each reaction. For example, the uncertainty for the  $^{235}$ U (ν) reaction is determined through ν perturbation alone, without considering the perturbations of the other 13 reactions listed in [Table 1](#page-1-0). The uncertainty is quantified as the ratio of the absolute uncertainty to the multiplication factor.

For comparison, two different group structures from SCALE 6.2.2 are considered: a 252-group (252G) and a 56-group (56G) case are considered. The calculations employed the ENDF/B-VII.1, xn252v7.1, and xn56v7.1, libraries that were specifically used for the 252G and 56G cases, respectively. By contrast, STREAM employs a 72-group (72G) structure from the same ENDF/B-VII.1 library and applies EQ 2-term

<span id="page-8-0"></span>

**Fig. 10.** Uncertainty and multiplication factor as a function of burnup.

method for resonance treatment.

As demonstrated in [Table 5](#page-4-0) and [Table 6,](#page-4-0) both the multiplication factors and uncertainties calculated using STREAM were in close agreement with those obtained from SCALE 6.2.2. [Fig. 5](#page-5-0) graphically represents the uncertainties for the eight reactions calculated using both STREAM and SCALE 6.2.2. Notably, the results from STREAM aligned closely with those from SCALE 6.2.2 252G.

## *4.2. Verification of PSM covariance library*

This section elaborates the verification of the newly implemented UQ module, which incorporates a PSM covariance library, setting it against the previously verified EQ 2-term method. EQ 2-term method serves as a reference point for the code-to-code comparison, and its verification is discussed in Section [4.1](#page-5-0). ENDF/B-VII.1 covariance library is used for these calculations. A summary of the averaged effective multiplication factors (keff) calculated from the perturbed cross-sectional data, along with the associated uncertainties, is provided in [Table 7.](#page-5-0) The calculations were conducted under both HFP and HZP conditions, with addi-tional details outlined in [Table 4](#page-4-0). Two different models, the  $UO<sub>2</sub>$  fuel pin cell and the FA, formed the basis for these calculations. The corresponding results are displayed in [Table 8,](#page-5-0) [Table 9](#page-5-0) and [Fig. 6.](#page-6-0) As evidenced by the data in these tables and the figure, the accuracy levels achieved with the PSM method are on par with those achieved with EQ 2-term method.

## *4.3. Verification of PCA method in STREAM/RAST-K UQ with TMI-1*

This section discusses the computational efficiency and validation of the data compression techniques used in the UQ of the STREAM/RAST-K two-step method. Specifically, the lossless compression methods HDF5 and xz, along with the lossy PCA method, were employed. This section

focuses on the results of the depletion calculations.

To evaluate the computational performance of the STREAM/RAST-K two-step method in the UQ calculations, verification was conducted using STREAM as a reference. In the two-step approach, RAST-K uses perturbed cross-sectional data generated by STREAM. [Fig. 7](#page-6-0) shows the verification results. The calculation conditions included a fuel temperature of 900 K, a moderator temperature of 562 K, and a boron concentration of 0.2 ppm. A MOC ray with a 0.03 cm track spacing was employed in STREAM. The TMI-1 FA model was used as the basis for these calculations. As the burnup proceeded, the average multiplication factors and associated uncertainties were compared. The differences in these factors were within 46 pcm between STREAM and STREAM/ RAST-K (ST/RK) throughout the entire depletion range, with uncertainty variations confined to 0.032%. The results indicate that STREAM/ RAST-K achieved an accuracy comparable to that of STREAM alone. In total, 500 calculation samples were considered.

For convergence analysis, [Fig. 8](#page-7-0) compares the average multiplication factors of the perturbed samples calculated using STREAM. The Shapiro-Wilk test was used to determine whether the calculated samples were normally distributed [[38\]](#page-11-0). The p-values for these samples exceed 0.05 across all burnup levels, suggesting a normal distribution across all burnup levels. [Fig. 9](#page-7-0) plots the uncertainties as functions of the burnup calculated using the STREAM/RAST-K two-step method. The 'Total' case refers to the calculation scenario in which all perturbed cross-section data are used to estimate uncertainty as a function of burnup. The isotope and reaction selections were guided by a previous [[39\]](#page-11-0). This figure also depicts the results for the seven most influential reactions, detailing their behavior over the burnup course. The uncertainty trends aligned well with those of previous UQ studies.

[Table 10](#page-7-0) lists the data compression ratios attained through PCA, HDF5, and xz methods. For on-the-fly calculations, the compression ratio reaches 88.210% in the 30 PC case and 84.525% in the 50 PC case.



Fig. 11. keff with PCA (sensitivity study results) - PC and Z profile check.

For the 30 PC case, the matrix sizes are defined as follows: **V** is  $500 \times 30$ , **PC** is 30  $\times$  444, mu is 1  $\times$  444, and **Z** is 500  $\times$  62. During this calculation, 62 elements selected for inclusion in the z-profile file undergo a sensitivity study. For the 50 PC case, the matrix sizes of **V** and **PC**, which are related to the number of principal components, differ:  $V$  is 500  $\times$  50 and **PC** is  $50 \times 444$ . When saving the data files, these ratios stand at 92.926% and 90.715%, respectively. The compression ratio is determined using Equation (8).

Compression ratio = 
$$
\left(1 - \frac{memory\ of\ compressed\ data\ file}{memory\ of\ nominal\ data\ file}\right) * 100\ [%]
$$
 (8)

This represents the method for compressing the data file compared to the nominal data file. As demonstrated in a previous study [[5](#page-10-0)], the number of principal components has a significant relationship with the total memory, and 30 principal components were used for the calculation. The calculation using 30 principal components resulted in truncation errors within 0.01% of the radial power distribution. Moreover, the multiplication factor was compared in this calculation, and a scale order of  $10^{-5}$  was essential for the comparison of multiplication factors. Therefore, to achieve high accuracy in the calculations, a z-profile data file was used, which included 62 variables related to  $^{234-236}$ U,  $^{238}$ U,  $f_{237-239}$  $Np$ ,  $^{239-242}$  $Pu$ ,  $^{241}$  and  $^{243}$ Am, and  $^{244}$ Cm. These isotopes contribute significantly to the calculation of the multiplication factor and require a large memory compared to a previous study [[5](#page-10-0)]. Consequently, the compression ratio was slightly lower than that reported in a previous

study [[5](#page-10-0)], reaching 92.926%. An additional xz file format is used to save the files. An xz file has the advantage of high accuracy because it is lossless [[11\]](#page-10-0). However, the x–z method does not support on-the-fly calculations. Therefore, PCA and HDF5 data compression methods were used for on-the-fly calculations.

[Figs. 10 and 11](#page-8-0) show the detailed results. [Fig. 10](#page-8-0) shows the results of a sensitivity study that varied the number of PCs used in the PCA. For these calculations, 500 perturbed samples are evaluated using the ENDF/B-VII.1 covariance library. We compared five distinct scenarios using 5, 10, 30, and 50 PCs along with z-profile data, as well as a case using 30 PCs without z-profile data. As indicated in [Fig. 10](#page-8-0), the scenario omitting the z-profile data showed a discrepancy greater than 500 pcm, thus underlining the importance of the z-profile data for achieving computational accuracy. When comparing the various PC scenarios, both the 30 PC and 50 PC cases produce results with high accuracy, showing errors within  $\pm 1$  pcm and 0 pcm over the entire depletion range, respectively. Additionally, these cases closely aligned with the nominal calculations in terms of uncertainty. Fig. 11 delves deeper into the comparison and features four specific perturbed sample cases: the 150<sup>th</sup>, 250<sup>th</sup>, 350<sup>th</sup>, and 136<sup>th</sup>. The results demonstrate that both the 30 PC and 50 PC scenarios yield highly accurate outcomes when compared to the nominal calculations, with differences within  $\pm 5$  pcm and  $\pm 2$ pcm, respectively. In stark contrast, the scenario without the z-profile data showed deviations exceeding 500 pcm, further underscoring the necessity for accurate calculations. Upon examining the data presented in [Table 10,](#page-7-0) [Figs. 10 and 11,](#page-8-0) it is evident that the 30 PC scenario <span id="page-10-0"></span>optimizes the balance between memory efficiency and computational accuracy.

## **5. Conclusion**

This study paper introduces an uncertainty analysis of the TMI-1 benchmark using the STREAM/RAST-K two-step method. The study presents two significant advancements: (1) Development of an UQ module and the creation of covariance library for PSM resonance treatment. (2) Application of PCA for UQ. To validate the calculation module, the TMI-1 benchmark is employed. The analysis includes 500 perturbed samples and utilizes ENDF/B-VII.1 neutronics data in conjunction with both ENDF/B-VII.1 and SCALE 6.2.2 covariance libraries. When compared to SCALE 6.2.2, the STREAM method demonstrates comparable accuracy, with discrepancies as small as  $\pm 0.0078\%$ for both Hot Full Power (HFP) and Hot Zero Power (HZP) pin cell model calculations. These calculations are performed for both the 252-group and 56-group scenarios. The PSM covariance library is generated using various tools, including NJOY-2016, NJOYCOVX, CADILLAC, and COGNAL. Compared to EQ 2-term calculation module, our newly developed PSM module shows a marginal difference of  $\pm 0.0054\%$  in both HFP and HZP pin cell and FA model calculations when using the ENDF/B-VII.1 covariance library. Furthermore, we validate PCA by applying it to TMI-1 FA models. The compression scheme employed achieves compression ratios of 88.210% and 92.926% for the on-the-fly and data-saving methods, respectively.

In summary, this study paper contributes to the field by providing an uncertainty analysis of the TMI-1 benchmark, a significant component of the UAM benchmarks. Future research will aim to extend uncertainty quantification (UQ) analyses to include implicit effects and will also explore the impact of memory compression using the PCA method in deterministic UQ.

## **Declaration of competing interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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#### **Abbreviations**

- CRAM Chebyshev rational approximation method
- EQ 2-term Calvik's two-term rational approximation
- FA fuel assembly
- HFP hot full power
- HZP hot zero power
- MOC Method of Characteristics
- PC principal component
- PCA principal component analysis
- PSM pin-based pointwise energy slowing-down method
- SVD singular value decomposition
- UAM uncertainty analysis in modeling
- UQ uncertainty quantification

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