



## Phase-controlled growth of cobalt oxide thin films by atomic layer deposition



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### ABSTRACT

Cobalt oxide (CoO<sub>x</sub>) thin films were deposited on thermally grown SiO<sub>2</sub> substrates by atomic layer deposition (ALD) using bis(1,4-di-iso-propyl-1,4-diazabutadiene)cobalt (C<sub>16</sub>H<sub>32</sub>N<sub>4</sub>Co) and oxygen (O<sub>2</sub>) as reactants at deposition temperatures ranging from 125 to 300 °C. X-ray diffraction (XRD) and Raman spectroscopic analysis indicated that a mixed-phase oxide consisting of CoO and Co<sub>3</sub>O<sub>4</sub> was deposited at temperatures ranging from 125 to 250 °C. However, single-phase Co<sub>3</sub>O<sub>4</sub> was deposited above the deposition temperature of 275 °C. Further, analyses by Rutherford backscattering spectrometry, transmission electron microscopy, and selected area electron diffraction along with XRD and Raman spectroscopy revealed that the single-phase cobalt oxide film was stoichiometric crystalline (spinel structure) with negligible N and C impurities. The optical band gap of the single-phase Co<sub>3</sub>O<sub>4</sub> film was 1.98 eV and increased with decreasing deposition temperature. It was also shown that the mixed-phase cobalt oxide thin films could be converted into single-phase spinel Co<sub>3</sub>O<sub>4</sub> by annealing at 350 °C in O<sub>2</sub> ambient. It was further observed that the phase of the ALD-grown cobalt oxide thin film could be controlled by controlling the precursor or reactant pulsing condition. The study revealed that pure Co<sub>3</sub>O<sub>4</sub> phase could be grown at a relatively low temperature (250 °C) by using water vapor as a reactant. Therefore, this work systemically demonstrated several pathways to grow single-phase Co<sub>3</sub>O<sub>4</sub> by ALD using a novel metalorganic cobalt precursor.

### 1. Introduction

Transition metal oxides have drawn considerable attention of the scientific community due to their abundance, non-toxicity, and chemical stability, as well as low-cost production methods [1]. Among the transition metal oxides, cobalt oxide (CoO<sub>x</sub>) has been extensively studied for its potential use in various applications such as solid-state gas sensors [2], heterogeneous catalysts [3–5], resistance random access memory devices [6], solar absorbers [7], catalysts for oxidation of different volatile organic compounds [8], intercalation compounds for energy storage, and protective coatings on the interconnects of solid oxide fuel cells [9]. Cobalt oxides exist in two different stable phases: one is Co<sub>3</sub>O<sub>4</sub>, which is a mixed valence compound, Co<sup>II</sup>Co<sup>III</sup>O<sub>4</sub>, with a normal spinel structure, and the other is the thermodynamically stable CoO, which is stable in a rock-salt structure at temperatures above 900 °C. However, the Co<sup>II</sup>O phase is known to be kinetically stable at room temperature. Along with thermodynamic stability, several other

advantages render spinel Co<sub>3</sub>O<sub>4</sub> preferable over rock-salt CoO. For example, spinel Co<sub>3</sub>O<sub>4</sub> is beneficial as an intercalation host material in Li-ion batteries because of its large unit cell (0.808 nm) compared with that of rock-salt CoO (0.427 nm) and greater number of interstitial sites. As a result, Co<sub>3</sub>O<sub>4</sub> exhibits a higher reversible capacity of 1100 mAh/g in Li-ion batteries compared with the 640 mAh/g capacity of CoO [10]. The other advantages of Co<sub>3</sub>O<sub>4</sub> over the CoO phase include its relatively high electrochromic efficiency (≈ 25 cm<sup>2</sup>/C), large surface area, high conductivity, and better electrochemically stability [11].

Many kinds of thin film deposition techniques such as sputtering [12,13], reactive electron-beam evaporation [14], pulsed laser deposition (PLD) [15], spray pyrolysis [7,16–18], and chemical vapor deposition (CVD) [9,11,19–23] have been used for obtaining single-phase Co<sub>3</sub>O<sub>4</sub> thin films. All these studies reported that single-phase Co<sub>3</sub>O<sub>4</sub> thin films were difficult to prepare and could be obtained only in limited conditions of oxygen partial pressure and deposition temperature. For example, a previous work on CVD cobalt oxide [11] showed that single-

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phase  $\text{Co}_3\text{O}_4$  was generally formed at relatively high  $\text{O}_2$  flow rates, a high chamber pressure of 10 mbar, and high deposition temperatures above 450 °C. Moreover, even though the fabrication of single-phase  $\text{Co}_3\text{O}_4$  is possible using physical vapor deposition (PVD)-based methods or CVD, these methods have an inherent limitation against application to potential high-performance devices with complex, small-sized, and high-aspect ratio structures.

Atomic layer deposition (ALD), as a thin film deposition technique, has attracted great interest due to its self-limiting nature of growth and exceptional coating conformality on three-dimensional structures with high aspect ratios. The self-limiting nature of ALD further provides the scope for monolayer growth as well as precise control over the film thickness over a large area. Cobalt oxide films have been prepared by ALD using an inorganic precursor such as cobalt diiodide ( $\text{CoI}_2$ ) and  $\text{O}_2$  [24]. Even though the resulting phase was single-phase  $\text{Co}_3\text{O}_4$ , the growth temperature was high, ranging from 475 to 600 °C. The deposition temperature could be decreased reasonably down to 100–400 °C using metalorganic cobalt precursors such as  $\text{Co(II)-2,2,6,6-tetramethyl-3,5-heptanedionate}$  [ $\text{Co(thd)}_2$ ] [25] or bis(cyclopentadienyl) cobalt ( $\text{CoCp}_2$ ) [26]. The single-phase  $\text{Co}_3\text{O}_4$  was formed with these two Co precursors and highly reactive oxidants compared with  $\text{O}_2$  such as  $\text{O}_3$  and  $\text{O}_2$  plasma. However, the growth rates were significantly low at around 0.02–0.05 nm/cycle. Moreover,  $\text{Co(thd)}_2$  and  $\text{CoCp}_2$  are solid at room temperature; thus, high sublimation temperatures are required to obtain sufficient vapor pressures of the precursors. Further, cobalt oxide films were grown by ALD using carbonyl-based cobalt metalorganic precursors such as dicobalt hexacarbonyl *tert*-butylacetylene [ $\text{Co}_2(\text{CO})_6(\text{HCC}(\text{CH}_3)_3)$ , CCTBA] [27] or cyclopentadienylcobalt dicarbonyl [ $\text{CpCo}(\text{CO})_2$ ] [28] with  $\text{O}_3$  at 50–150 °C. Relatively high growths per cycle (approximately 0.08–0.1 nm/cycle) were obtained; however, when CCTBA was used, the mixed-phase  $\text{CoO}_x$  was deposited. Recently, a ALD- $\text{CoO}_x$  process at a very low temperature of 50 °C using a new carbonyl-based precursor such as dicobalt octacarbonyl [ $\text{Co}_2(\text{CO})_8$ ] and  $\text{O}_3$  was reported; the results showed the formation of mixed-phase films of  $\text{CoO}$  and  $\text{Co}_3\text{O}_4$  [29]. The above results indicate that the presence of the carbonyl (CO) ligand in the metal precursors might be the cause of such a low-temperature ALD growth of the  $\text{CoO}_x$  film. However, it was difficult to grow single-phase  $\text{Co}_3\text{O}_4$  at low deposition temperatures by using these carbonyl-based precursors. Moreover, thermal decompositions of precursors such as CCTBA,  $\text{CpCo}(\text{CO})_2$ , or  $\text{Co}_2(\text{CO})_8$  do not allow cobalt oxide films to be deposited by an ideal ALD process at high temperatures, restricting the growth of pure spinel  $\text{Co}_3\text{O}_4$ . Table 1 summarizes the ALD- $\text{CoO}_x$  processes previously reported.

In this paper, we report the development of a new ALD- $\text{CoO}_x$  process using a novel Co metalorganic precursor and  $\text{O}_2$  as the reactant, which is relatively milder than  $\text{O}_3$  or  $\text{O}_2$  plasma. Several process parameters such as deposition temperature, precursor and reactant pulsing times, and post-annealing were carefully considered, and finally, controlled growth of high-quality single-phase  $\text{Co}_3\text{O}_4$  was

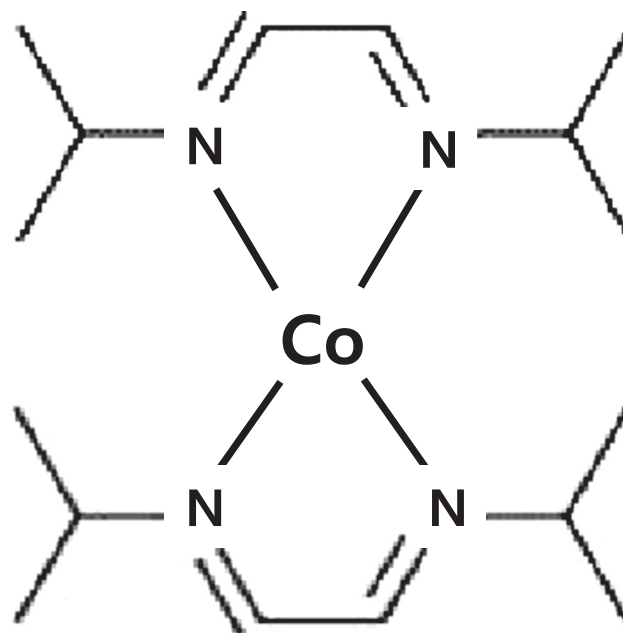


Fig. 1. Molecular structure of bis(1,4-di-iso-propyl-1,4-diazabutadiene)cobalt [ $\text{C}_{16}\text{H}_{32}\text{N}_4\text{Co}$ ,  $\text{Co}(\text{dpdab})_2$ ] precursor.

achieved.

## 2. Experiments

$\text{CoO}_x$  films were deposited in a traveling-wave-type ALD reactor (Lucida-D100, NCD technology) using bis(1,4-di-iso-propyl-1,4-diazabutadiene)cobalt [ $\text{C}_{16}\text{H}_{32}\text{N}_4\text{Co}$ ,  $\text{Co}(\text{dpdab})_2$ ] as the precursor and oxygen ( $\text{O}_2$ ) or water vapor ( $\text{H}_2\text{O}$ ) as the reactant (the typical reactant was  $\text{O}_2$ ). The molecular structure of Co precursor is shown in Fig. 1. The Co precursor is liquid at room temperature and was heated to 95 °C during deposition, at which the vapor pressure was 0.25 Torr. The  $\text{CoO}_x$  films were deposited on p-type Si (100) wafers covered with a 100-nm-thick thermally grown  $\text{SiO}_2$  layer and on glass substrates. The deposition temperature was varied from 125 to 300 °C, and the chamber pressure was fixed at approximately 0.5 Torr. The gas delivery line temperature was maintained at 105 °C to prevent condensation of the Co precursor during delivery.  $\text{O}_2$  gas diluted with  $\text{N}_2$  carrier gas was provided as a reactant into the chamber with a flow rate of 50 sccm.  $\text{H}_2\text{O}$  as a reactant was provided without a carrier gas. After each precursor and reactant pulsing step, a purging process was performed with 100 sccm of  $\text{N}_2$ . The basic pulsing conditions were set as follows: precursor pulsing for 10 s, reactant pulsing for 10 s, and purging for 10 s. These conditions were found to be sufficient to guarantee the self-limiting growth of the ALD- $\text{CoO}_x$  films.

The properties of the  $\text{CoO}_x$  thin films were analyzed using various analytical techniques. The thicknesses of the  $\text{CoO}_x$  films were determined by X-ray reflectance (XRR, PANalytical X'pert MRD with  $\text{Cu-K}\alpha$  radiation at 1.5 kW) and cross-sectional view scanning electron microscopy (XSEM, Hitachi S-4800, at 20 kV accelerating voltage and equipped with a field emission electron gun). For phase identification, grazing-incidence angle (incident angle,  $\theta = 3^\circ$ ) X-ray diffraction (GIAXRD) was performed. For precise phase identification, Raman spectroscopy was performed (HORIBA XploRA Plus with a 532-nm incident laser). Rutherford backscattering spectrometry (RBS) was performed to determine the film composition and possible incorporation of impurities in the film using  $\text{He}^{2+}$  with an incident energy of 2 MeV. Plan-view transmission electron microscopy (TEM, Tecnai F20, at 200 kV accelerating voltage and equipped with a field emission gun) was used to analyze the microstructures of the as-grown  $\text{CoO}_x$  films in

Table 1  
Summary of results of the ALD- $\text{CoO}_x$  processes.

Precursor	Reactant	ALD window (°C)	Growth rate (nm/cycle)	Phase	Refs.
$\text{CoI}_2$	$\text{O}_2$	475–600	0.20	$\text{Co}_3\text{O}_4$	[24]
$\text{Co(thd)}_2$	$\text{O}_3$	114–307	0.02	$\text{Co}_3\text{O}_4$	[25]
$\text{CoCp}_2$	$\text{O}_3$	137–331	0.041–0.045	$\text{CoO}$ , $\text{Co}_3\text{O}_4$	[26]
$\text{CoCp}_2$	$\text{O}_2$ plasma	100–400	0.05	$\text{Co}_3\text{O}_4$	[26]
CCTBA	$\text{O}_3$	68–138	~0.083 (@68 °C) 0.11 (@80 °C)	$\text{CoO}$ , $\text{Co}_3\text{O}_4$	[27]
$\text{CpCo}(\text{CO})_2$	$\text{O}_3$	50–150	0.08–0.11	$\text{Co}_3\text{O}_4$	[28]
$\text{Co}_2(\text{CO})_8$	$\text{O}_3$	N/A	0.57	$\text{CoO}$ , $\text{Co}_3\text{O}_4$	[29]

detail. The optical properties were analyzed by UV–Vis–NIR spectrophotometry (Cary 5000, Agilent Technologies). The as-grown mixed-phase  $\text{CoO}_x$  films were post-annealed in  $\text{O}_2$  ambient using a RTA (rapid thermal annealing) system. The desired temperature for annealing could be reached within 10 s from room temperature using this RTA system. The post annealing process was carried out for 30 min at three different temperatures of 300, 350, and 400 °C.

### 3. Results and discussion

Prior to depositing the  $\text{CoO}_x$  films by ALD, the thermal stability of the metalorganic cobalt precursor was verified; this helped us set the reaction temperature within the ALD temperature window, avoiding decomposition-dominated growth of the film. For this test, only the Co precursor was pulsed into the chamber up to a temperature of 325 °C for 10 min, without introducing the counter-reactant,  $\text{O}_2$ . In this case, no film growth was observed, which indicates that the new Co precursor is thermally stable up to 325 °C, excluding the possibility of the growth by the thermal decomposition. Meanwhile, film deposition took place when  $\text{O}_2$  vapor was pulsed after precursor pulsing (both for 10 s) at 275 °C, indicating that  $\text{O}_2$  vapor was essential for growing ALD- $\text{CoO}_x$  films in this study.

#### 3.1. Effect of deposition temperature on the phase of ALD- $\text{CoO}_x$ thin films

The  $\text{CoO}_x$  films studied in this work were deposited under the self-limiting ALD growth condition, which has been described in the supporting information [Fig. 1(a) and (b) in Supporting information]. Fig. 2 shows the GIAXRD profiles of the ALD- $\text{CoO}_x$  films deposited at temperatures ranging from 150 to 300 °C. The XRD results confirmed that the crystal structures of the ALD-grown  $\text{CoO}_x$  films obtained with deposition temperatures up to 250 °C had a mixed-phase consisting of CoO and  $\text{Co}_3\text{O}_4$ . However, single-phase  $\text{Co}_3\text{O}_4$  was formed by increasing the deposition temperature to 265 °C and above. The XRD peaks of the mixed-phase  $\text{CoO}_x$  could be assigned to spinel  $\text{Co}_3\text{O}_4$  [space group (no.): Fd3m (227) and lattice parameter (Å):  $a = 8.0837$ ] and rock-salt CoO [space group (no.): Fm3m (225) and lattice parameter (Å):  $a = 4.2612$ ]. The lattice planes were identified as (200) and (220) (at  $2\theta = 42.38^\circ$  and  $61.49^\circ$ , respectively) (JCPDS no. 42–1719) of

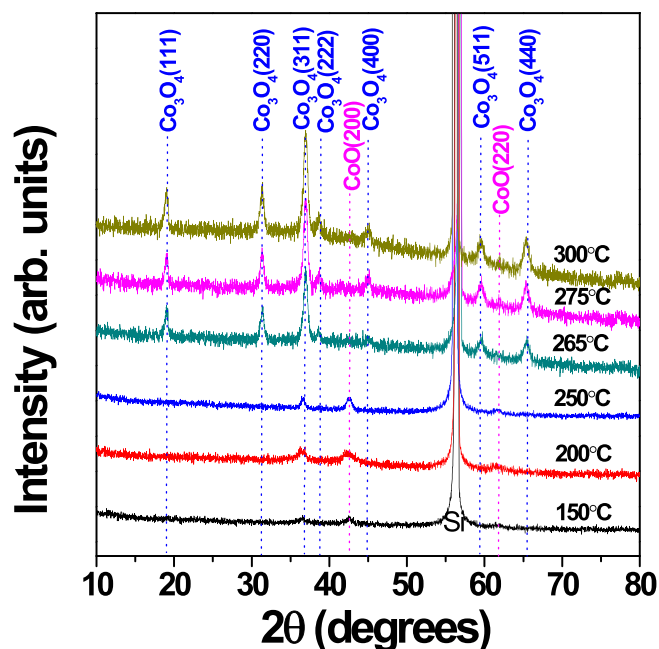


Fig. 2. XRD profiles of ALD- $\text{CoO}_x$  films as a function of deposition temperature under basic pulsing conditions.

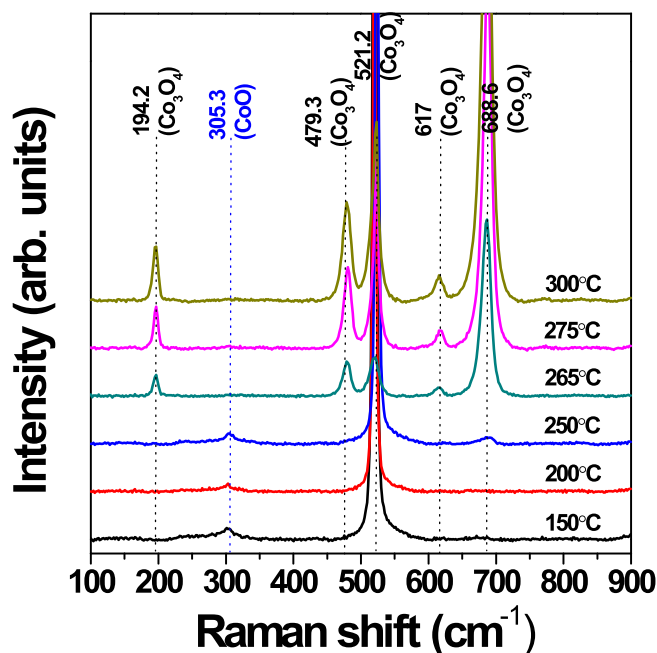


Fig. 3. Raman spectra of ALD- $\text{CoO}_x$  films as a function of deposition temperature.

the rock-salt CoO and as (311) ( $2\theta = 36.85^\circ$ ) (JCPDS no. 42–1467) of spinel  $\text{Co}_3\text{O}_4$ . It can be seen from Fig. 2 that the peaks corresponding to the (200) and (220) planes of CoO disappeared with increase in deposition temperature to 265 °C and above. On the contrary, several other peaks were observed in the XRD profile along with the (311) ( $2\theta = 36.85^\circ$ ) peak of spinel  $\text{Co}_3\text{O}_4$ , which becomes more prominent with increasing deposition temperature. All these newly appeared XRD peaks at  $2\theta = 19.0001^\circ, 31.27^\circ, 38.54^\circ, 44.81^\circ, 59.36^\circ,$  and  $65.24^\circ$  for the films deposited within the temperature range of 265–300 °C could be assigned to the (111), (220), (222), (400), (511) and (440) planes of spinel  $\text{Co}_3\text{O}_4$ , respectively. Interestingly, we did not observe any peak corresponding to rock-salt CoO at and above 265 °C. At the same time, all the peaks corresponding to the pure  $\text{Co}_3\text{O}_4$  phase became more intense with increasing temperature, which reflects the better polycrystallinity of the ALD-grown film. Thus, XRD analysis suggests that a sharp phase transformation from mixed-phase  $\text{CoO}_x$  to single-phase  $\text{Co}_3\text{O}_4$  occurred at the deposition temperature of 265 °C and above.

To further confirm the phase change of ALD- $\text{CoO}_x$  films deposited at different deposition temperatures, Raman spectroscopic analysis was performed in detail. Fig. 3 shows the Raman spectra of  $\text{CoO}_x$  films in the frequency range of 100–900  $\text{cm}^{-1}$ . The Raman peaks of single-phase  $\text{Co}_3\text{O}_4$  are well studied and are reported to appear at 194.2, 479.3, 521.2, 617, and 688.6  $\text{cm}^{-1}$  [30]. The Raman peaks of CoO films deposited at temperatures ranging from 150 to 250 °C in this study were observed at around 305.3, 512.2, and 688.6  $\text{cm}^{-1}$ . While the peaks at 521.2 and 688.6  $\text{cm}^{-1}$  can be ascribed to the Raman-active modes of the  $\text{Co}_3\text{O}_4$  phase, the peak observed at 305.3  $\text{cm}^{-1}$  is assigned to CoO [31]. A close look at Fig. 3 reveals that the intensity of the peak at 305.3  $\text{cm}^{-1}$  consistently decreases with increase in deposition temperature from 150 to 250 °C. Further observations showed that this peak completely disappeared for the films deposited at temperatures over 250 °C (265 to 300 °C). Therefore, the result confirms that the  $\text{CoO}_x$  films deposited within the temperature range of 150 to 250 °C have a mixed-phase comprising both CoO and  $\text{Co}_3\text{O}_4$  phases. On the other hand, the Raman peaks of the  $\text{CoO}_x$  films deposited in the temperature range of 265 to 300 °C were observed at 194.2, 479.3, 521.2, 617, and 688.6  $\text{cm}^{-1}$ . These five distinguished peaks could be identified as the Raman-active modes  $A_{1g}$  (688.6  $\text{cm}^{-1}$ ),  $E_g$  (479.3  $\text{cm}^{-1}$ ), and  $3F_{2g}$  (194.2, 521.2, and 617  $\text{cm}^{-1}$ ) of  $\text{Co}_3\text{O}_4$ . Thus, Raman spectroscopic analysis provides complimentary information to XRD,

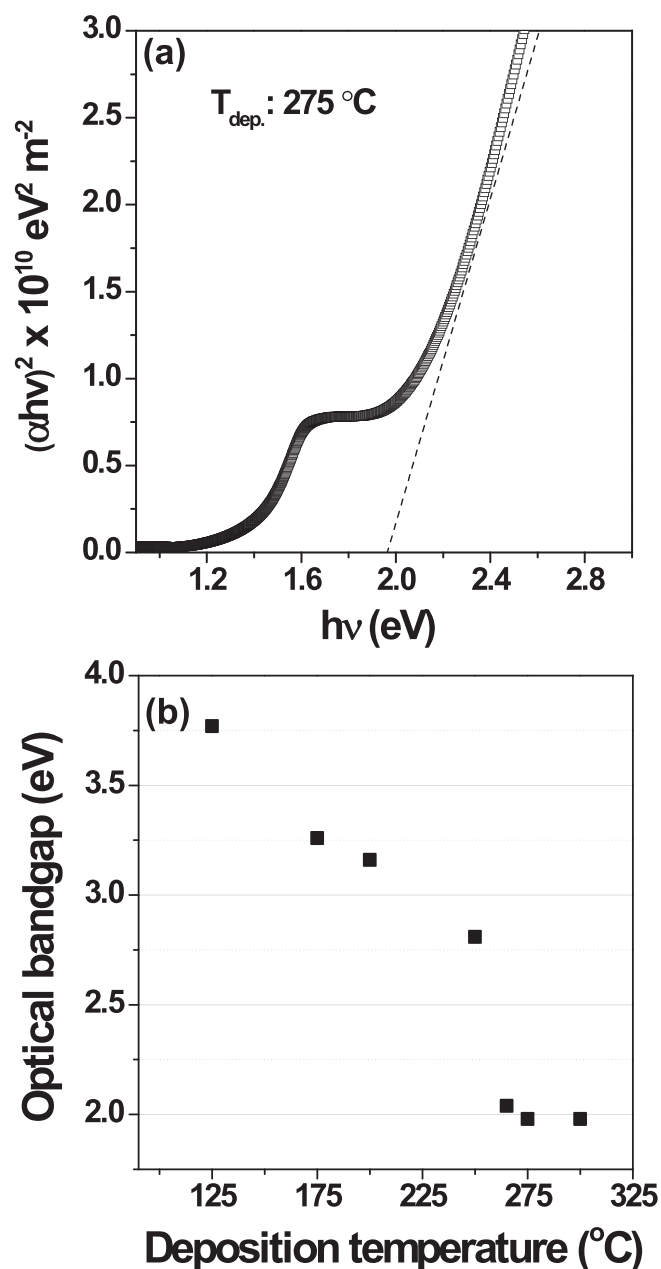


Fig. 4. Optical properties of ALD-CoO<sub>x</sub> film deposited at 275 °C under basic pulsing condition: (a) plot of  $(\alpha h\nu)^2$  as a function of photon energy and (b) optical band gap of ALD-CoO<sub>x</sub> films as a function of deposition temperature.

indicating a phase-controlled deposition of ALD-CoO<sub>x</sub> that transformed from a mixed-phase oxide to single-phase Co<sub>3</sub>O<sub>4</sub> depending on the deposition temperature.

Fig. 4(a) shows the representative optical property of the ALD-CoO<sub>x</sub> film deposited at 275 °C, measured by UV–Vis–NIR spectrophotometry. The optical bandgap of the CoO<sub>x</sub> films can be calculated from Eq. (1):

$$(\alpha \cdot h\nu)^n = A(h\nu - E_g), \quad (1)$$

where  $A$  is a constant,  $h$  is Planck's constant,  $n$  is the transition probability,  $\alpha$  is the absorption coefficient of the material,  $\nu$  is the frequency of the incident light, and  $E_g$  is the optical band gap. The optical bandgap was estimated by determining the intercept of the linear extrapolation with the photon energy for the absorption onset of the  $(\alpha h\nu)^2$  curve. Fig. 4(b) shows the optical bandgaps of the ALD-CoO<sub>x</sub> films at different deposition temperatures. The bandgaps of the CoO<sub>x</sub> films generally decreased from 3.77 to 1.98 eV with increase in deposition temperature

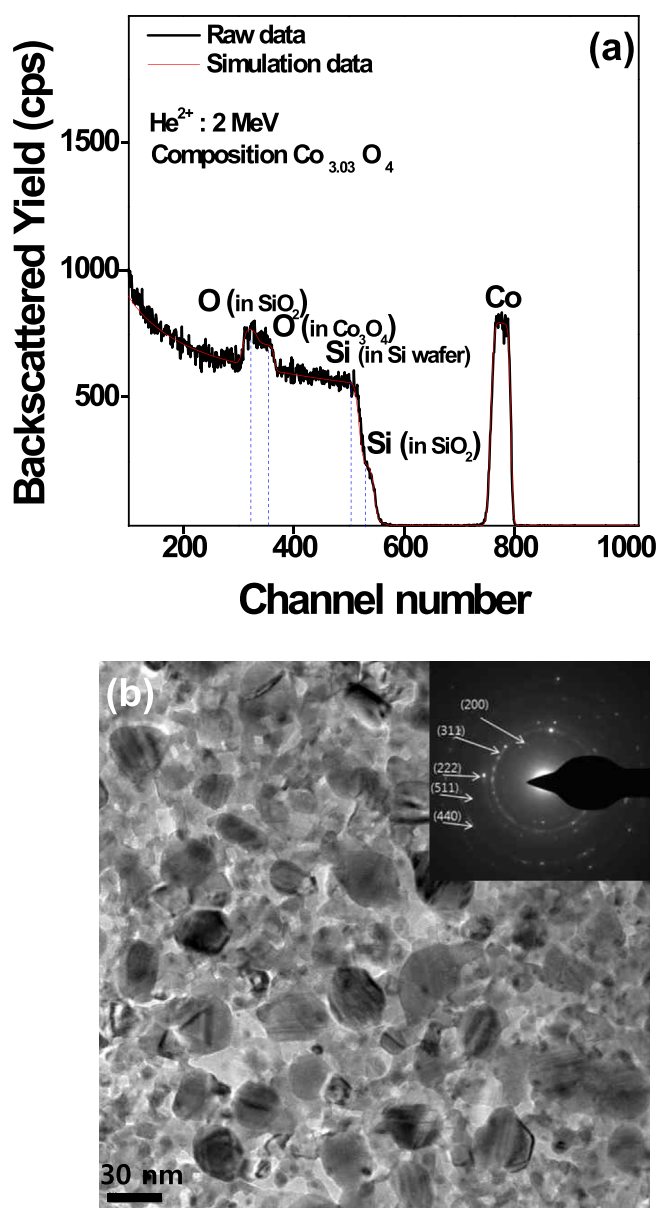


Fig. 5. (a) RBS spectra and (b) plan-view TEM bright-field (BF) image of as-grown ALD-Co<sub>3</sub>O<sub>4</sub> film deposited at 275 °C. For RBS analysis, He<sup>2+</sup> with incident energy of 2 MeV was used. Inset in (b): selected area electron diffraction pattern.

from 125 to 300 °C. However, interestingly, when the deposition temperature increased from 250 to 265 °C, a sharp decrease in optical bandgap from 2.8 to 2.0 eV was observed. With further increase in deposition temperature to 300 °C, the optical bandgap remained almost unchanged. This confirmed that phase transition from CoO and Co<sub>3</sub>O<sub>4</sub> mixed-phase to single-phase Co<sub>3</sub>O<sub>4</sub> occurred at the deposition temperature of 265 °C, which explains the sharp decrease in optical bandgap of the thin film deposited at 265 °C. In fact, it was reported that the optical bandgaps of single-phase Co<sub>3</sub>O<sub>4</sub> thin films range from 1.48 to 2.2 eV [32], which is well matched with those of single-phase ALD-Co<sub>3</sub>O<sub>4</sub> thin films in this study.

The above results clearly indicate that a single-phase Co<sub>3</sub>O<sub>4</sub> film could be fabricated by controlling the deposition temperature. To further confirm the film composition and stoichiometry of the ALD-CoO<sub>x</sub> film deposited at 275 °C, it was analyzed by RBS. Fig. 5(a) shows the RBS spectra of the ALD-CoO<sub>x</sub> film (~100-nm-thick) on a SiO<sub>2</sub> (100-nm-thick)-coated Si wafer (400 μm in thickness). The He<sup>2+</sup> ions backscattered from a collision with Co in the ALD-CoO<sub>x</sub> films are shown at

channel numbers approximately ranging from ~740 to 800. A backscattering peak from Si consisted of two components. The peak appearing in the channel number range from ~550 to ~526 is the backscattered peak due to the collision of  $\text{He}^{2+}$  with Si in the thermally grown  $\text{SiO}_2$  and the peak appearing at a slightly lower channel number was from Si in the wafer. The backscattered peak from O also consisted of two components. The peak at the channel number of ~350 originated from O in the ALD- $\text{CoO}_x$  films, while that at the channel number of ~300 arose from O in the  $\text{SiO}_2$ . Impurities such as carbon and nitrogen were not detected in RBS. Using RUMP simulation, the ratio of Co and O (Co/O) in the film was determined as 3.03:4, which supports the formation of a stoichiometric  $\text{Co}_3\text{O}_4$  film. The sample was analyzed by plan-view TEM to confirm the phase and characterize the microstructure of the ALD- $\text{Co}_3\text{O}_4$  film in detail. The plan-view TEM bright-field (BF) images of the ALD- $\text{Co}_3\text{O}_4$  film deposited on  $\text{SiO}_2$  (100 nm)/Si substrate showed that the film had a poly-crystalline structure [Fig. 5(b)] with irregular grain sizes. Its electron diffraction pattern [the inset in Fig. 5(b)] showed a typical concentric ring pattern with some clearly visible diffraction spots, which indicate that film had a poly-crystalline structure. The lattice fringes could be well assigned to the (200), (311), (222), (511) and (440) planes of cubic  $\text{Co}_3\text{O}_4$ . All these planes for single-phase  $\text{Co}_3\text{O}_4$  were also evident in the XRD pattern of the ALD- $\text{CoO}_x$  film grown at 275 °C. A careful view of the electron diffraction pattern reveals that the diffraction pattern is well matched with that of spinel  $\text{Co}_3\text{O}_4$  but not with that of the CoO phase. From XRD and Raman analysis, it could be concluded that single-phase cubic  $\text{Co}_3\text{O}_4$  could be deposited at a deposition temperature of 275 °C.

### 3.2. Effects of precursor and reactant pulsing conditions on the phase of ALD- $\text{CoO}_x$

This study further reveals that the phase of the ALD-grown  $\text{CoO}_x$  films could also be controlled by varying the precursor as well as reactant pulsing times, which are the key process parameters in ALD. It should be noted that deposition with the basic pulsing condition (precursor pulsing-purging-reactant pulsing-purging: 10 s each) resulted in the formation of a single-phase  $\text{Co}_3\text{O}_4$  thin film at 275 °C. Fig. 6(a) shows the XRD profiles of the films deposited at 275 °C by varying the precursor pulsing time with a fixed reactant pulsing time of 10 s. When the precursor pulsing time was increased from 1 to 10 s, the change in XRD peaks was not apparent though the intensities of the seven peaks appearing at  $2\theta = 19^\circ, 31.27^\circ, 36.85^\circ, 38.54^\circ, 44.81^\circ, 59.36^\circ,$  and  $65.24^\circ$  slightly increased. However, at a precursor pulsing time of 15 s, drastic changes in the XRD peaks were observed. The XRD peaks at  $2\theta = 19.0001^\circ, 31.27^\circ, 38.54^\circ, 44.81^\circ, 59.36^\circ,$  and  $65.24^\circ$ , which could be attributed to spinel  $\text{Co}_3\text{O}_4$  (111), (220), (222), (400), (511) and (440) planes, disappeared and the XRD peaks corresponding to rock-salt CoO appeared at  $2\theta = 42.38^\circ$  and  $61.49^\circ$ , indicating the formation of CoO phase. Fig. 6(b) shows the XRD profiles of the films prepared by varying the reactant pulsing time at a fixed precursor pulsing time of 10 s. When the reactant pulsing time was increased from 1 to 5 s, no change was observed in the positions of the XRD peak at  $2\theta = 36.85^\circ, 42.38^\circ,$  and  $61.49^\circ$  except a slight increase in the intensities of the peaks. The peaks at  $42.38^\circ$  and  $61.49^\circ$  could be as assigned to the (200) and (220) planes of rock-salt CoO, while the peak at  $36.85^\circ$  could be ascribed to the (311) plane of spinel  $\text{Co}_3\text{O}_4$ . However, when the reactant pulsing time was further increased to 7 s, changes in the XRD peaks were evident. The intensities of the XRD peaks at  $2\theta = 42.38^\circ$  and  $61.49^\circ$  arising from rock-salt CoO decreased and new XRD peaks corresponding to spinel  $\text{Co}_3\text{O}_4$  appeared at  $2\theta = 19^\circ, 31.27^\circ, 38.54^\circ,$  and  $65.24^\circ$  along with a peak at  $36.85^\circ$ , indicating the preferential formation of the  $\text{Co}_3\text{O}_4$  phase. Further increasing the reactant pulsing time to 10 and 15 s led to a significant increase in the intensities of the XRD peaks at  $2\theta = 19^\circ, 31.27^\circ, 36.85^\circ, 38.54^\circ, 44.81^\circ, 59.36^\circ$  and  $65.24^\circ$ , reflecting the higher crystallinity of the  $\text{Co}_3\text{O}_4$  phase. On the contrary, the peaks corresponding to the CoO phase completely

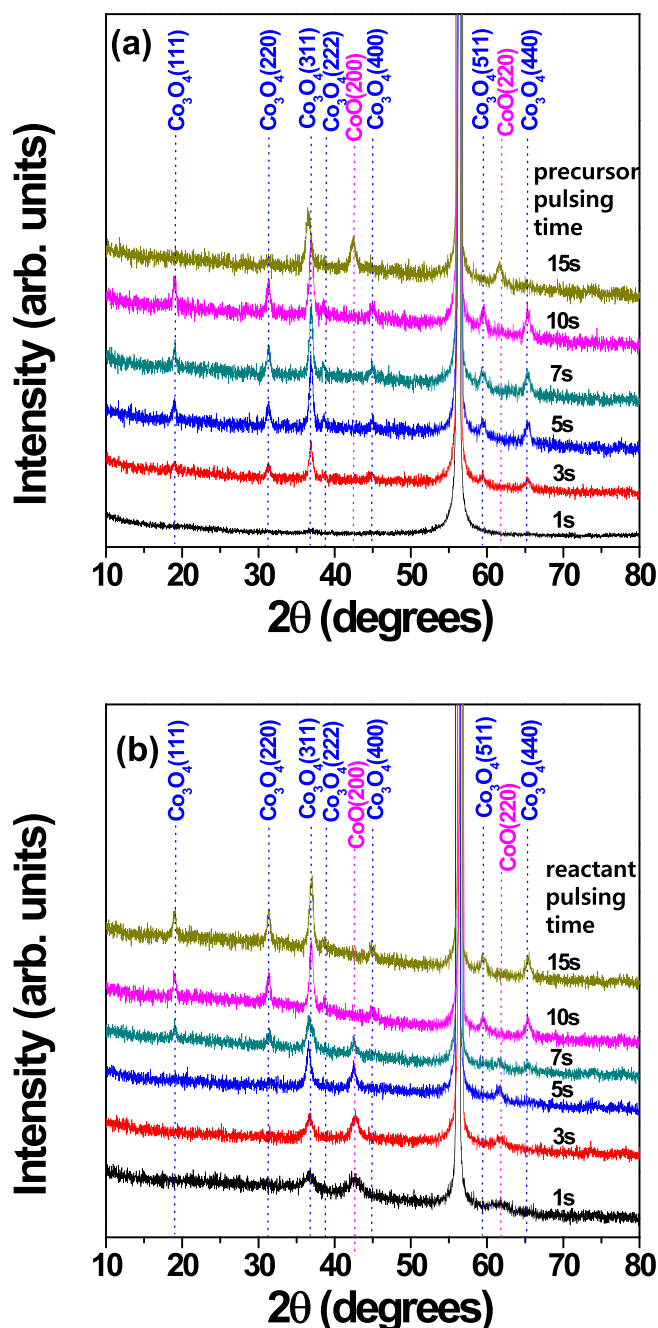


Fig. 6. XRD profiles of ALD- $\text{CoO}_x$  films as a function of (a) precursor pulsing time and (b) reactant pulsing time at deposition temperature of 275 °C.

disappeared at these conditions of the reactant pulsing time. Thus, it could be concluded that an excess supply of Co metalorganic precursor with respect to the reactant ( $\text{O}_2$ ) helped form the CoO phase as it has a higher Co to O ratio. On the other hand, an excess amount of reactant helped convert the phase from mixed CoO and  $\text{Co}_3\text{O}_4$  to pure  $\text{Co}_3\text{O}_4$ .

### 3.3. Effect of post annealing in $\text{O}_2$ ambient on the phase of ALD- $\text{CoO}_x$

Post-annealing in  $\text{O}_2$  ambient was performed to expand the process margin for obtaining single-phase  $\text{Co}_3\text{O}_4$  films because the sharp transition from CoO and  $\text{Co}_3\text{O}_4$  mixed-phase to single-phase  $\text{Co}_3\text{O}_4$  occurred with a slight increase in the deposition temperature from 250 to 265 °C. Fig. 7(a) shows the XRD profiles of the  $\text{CoO}_x$  films annealed at different annealing temperatures. Here, the  $\text{CoO}_x$  films deposited at 250 °C with the basic pulsing condition were annealed in  $\text{O}_2$  ambient at annealing

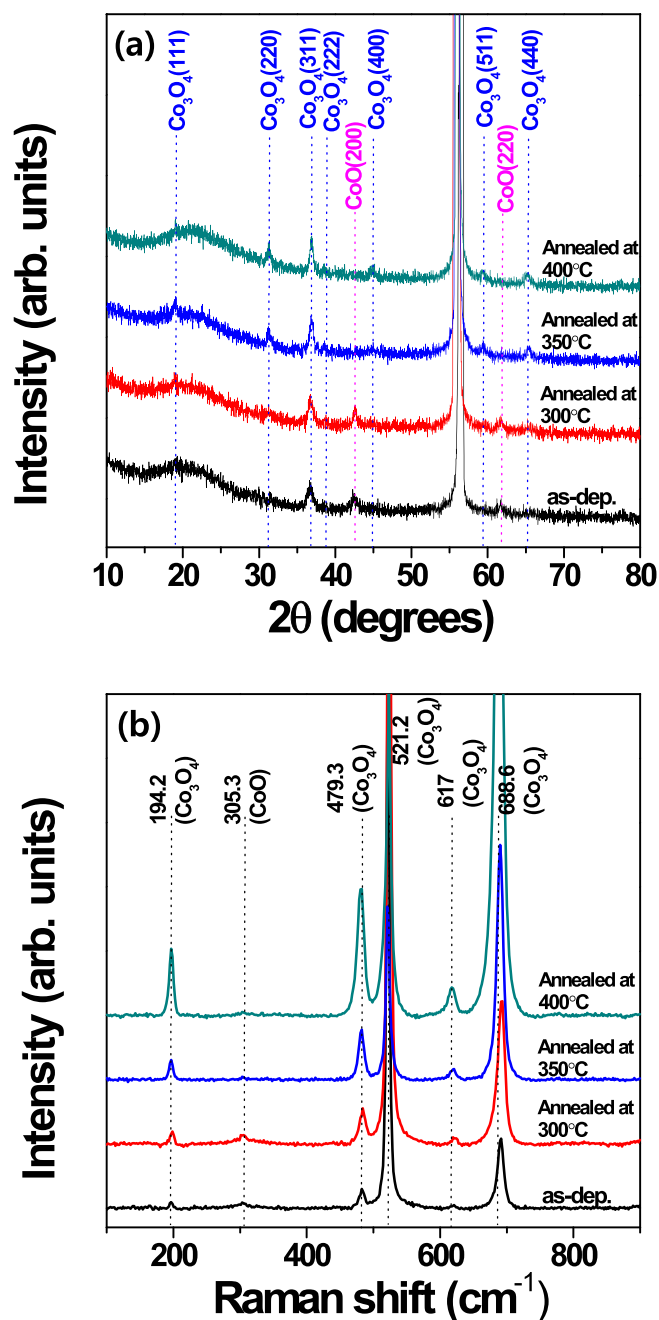


Fig. 7. (a) XRD profiles and (b) Raman spectra as a function of annealing temperature. The annealing temperature of the as-grown sample was 250 °C.

temperatures ranging from 300 to 400 °C for 30 min using the RTA process. The  $\text{CoO}_x$  films annealed at 300 °C had a mixed-phase with the formation of very small peaks at  $2\theta = 19.0001^\circ$  and  $31.27^\circ$ , which were assigned to the (111) and (220) planes of cubic  $\text{Co}_3\text{O}_4$ . When the annealing temperature was increased to 350 °C, a change in the XRD profile was observed. Several new peaks appeared at  $2\theta = 19.0001^\circ$ ,  $31.27^\circ$ , and  $59.36^\circ$ , which could be attributed to the crystalline  $\text{Co}_3\text{O}_4$  phase. On the contrary, the XRD peaks corresponding to (200) and (220) planes of rock-salt CoO disappeared completely. With further increase in annealing temperature to 400 °C, the peaks of  $\text{Co}_3\text{O}_4$  became more intensified. This indicates an improvement in the crystallinity of cubic  $\text{Co}_3\text{O}_4$  grains. Fig. 7(b) shows the Raman spectra, which complement the XRD results and confirm the phase change of the annealed  $\text{CoO}_x$  films with annealing temperature. Raman peaks were observed at 305.3, 521.2, and 688.6  $\text{cm}^{-1}$  for the as-deposited  $\text{CoO}_x$  film ( $T_{\text{dep.}}$ :

250 °C). The peak at 305.3  $\text{cm}^{-1}$  could be attributed to the CoO phase, while the other two peaks originated from  $\text{Co}_3\text{O}_4$ . Thus, it reveals the mixed-phase nature of the as-deposited film. In the case of sample annealed at 300 °C, two more Raman peaks appeared at 194.2 and 619  $\text{cm}^{-1}$ , which were assigned to the  $\text{Co}_3\text{O}_4$  phase, indicating the progressive formation of the  $\text{Co}_3\text{O}_4$  phase. However, the peak at 305.3  $\text{cm}^{-1}$  remained although its intensity decreased. Therefore, complete phase transformation from mixed-phase to single-phase  $\text{Co}_3\text{O}_4$  did not occur at 300 °C; this was evident in the XRD analysis of the annealed film as well. However, when the annealing temperature was increased to 350 and 400 °C, the Raman-active mode for the CoO phase disappeared, confirming the formation of pure single-phase  $\text{Co}_3\text{O}_4$ . Similar to XRD, the peak intensities of all the five Raman-active modes corresponding to the  $\text{Co}_3\text{O}_4$  phase increased with increasing annealing temperature, indicating the improvement in crystallinity of single-phase  $\text{Co}_3\text{O}_4$  upon annealing.

### 3.4. ALD- $\text{CoO}_x$ process using $\text{H}_2\text{O}$ as a reactant

The above results showed that single-phase ALD- $\text{Co}_3\text{O}_4$  films could be controllably grown by controlling several process parameters such as the deposition temperature, precursor and reactant pulsing times, and the post-annealing process. We further tried to deposit single-phase ALD- $\text{Co}_3\text{O}_4$  films using another reactant, that is, water vapor. Fig. 8 shows the XRD profiles of the films grown using water vapor as a reactant at different deposition temperatures. The basic pulsing condition obtained for the ALD- $\text{CoO}_x$  process with  $\text{O}_2$  as a reactant (precursor pulsing-purging-reactant pulsing-purging: 10 s each) was used. For the ALD- $\text{CoO}_x$  films deposited at temperatures ranging from 200 to 225 °C, the XRD peaks were well matched with those of rock-salt CoO ( $2\theta = 42.38^\circ$  and  $61.49^\circ$  for (200) and (220) planes, respectively) and spinel  $\text{Co}_3\text{O}_4$  ( $2\theta = 36.85^\circ$  for (311) plane), indicating the formation of mixed-phase  $\text{CoO}_x$ . However, when the deposition temperature was increased to 250 °C and above, all the XRD peaks at  $2\theta = 19.0001^\circ$ ,  $31.27^\circ$ ,  $36.85^\circ$ ,  $38.54^\circ$ ,  $44.81^\circ$ ,  $59.36^\circ$ , and  $65.24^\circ$  could be perfectly assigned to the (111), (220), (311), (222), (400), (511), and (440) planes of single-phase spinel  $\text{Co}_3\text{O}_4$ , respectively. This result indicates that water vapor as a reactant during ALD growth can yield single-phase  $\text{Co}_3\text{O}_4$  at a relatively low temperature. This implies that water

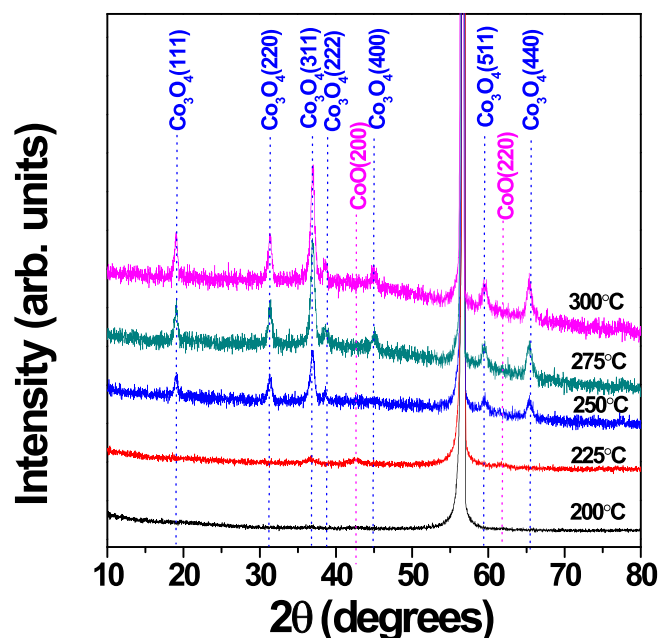


Fig. 8. XRD profiles of ALD- $\text{CoO}_x$  films as a function of deposition temperature using water vapor as a reactant.

vapor acts as a strong oxidant compared with oxygen during the ALD of  $\text{CoO}_x$  using the present Co metalorganic precursor.

#### 4. Summary and conclusions

In this study,  $\text{CoO}_x$  films were deposited on thermally grown  $\text{SiO}_2$  and glass substrates by ALD with the sequential supply of  $\text{Co}(\text{dpdab})_2$  and  $\text{O}_2$  at deposition temperatures ranging from 125 to 300 °C.  $\text{CoO}_x$  films deposited below 265 °C were mixed-phase (rock-salt  $\text{CoO}$  and spinel  $\text{Co}_3\text{O}_4$ ), as confirmed by XRD and Raman analysis. However, above 265 °C, single-phase  $\text{Co}_3\text{O}_4$  films were successfully grown. The optical bandgaps of the ALD- $\text{CoO}_x$  films varied with deposition temperature and decreased from 3.77 to 1.98 eV, indicating a change in the crystal structure of the films from mixed-phase to pure  $\text{Co}_3\text{O}_4$  phase. RBS analysis confirmed that the single-phase film was quite stoichiometric (Co/O: 3.03:1) with negligible C and N impurities. This study confirms that the phase of the ALD-grown  $\text{CoO}_x$  thin film could also be controlled by controlling the precursor or reactant pulsing condition. Post-annealing at 350 °C in oxygen ambient also led to phase conversion from mixed-phase to single-phase  $\text{Co}_3\text{O}_4$ . Thus, this study systematically investigates the phase-controlled growth of ALD- $\text{CoO}_x$  films by controlling several process parameters such as the deposition temperature, precursor and reactant pulsing times, and the post-annealing process. In addition, we could also fabricate single-phase  $\text{Co}_3\text{O}_4$  films using water vapor as a reactant at deposition temperatures above 250 °C, which is slightly lower than that used for the ALD- $\text{CoO}_x$  process with  $\text{O}_2$  as a reactant. In conclusion, by controlling the various process parameters of ALD, it is possible to establish a process that can easily control the composition and phase of  $\text{CoO}_x$  films compared with other deposition processes, and can be applied to various applications.

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#### Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.surfcoat.2018.01.047>.

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