Quasi-Free-Standing Graphene Monolayer on a Ni Crystal through Spontaneous Na Intercalation

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(Received 24 August 2013; revised manuscript received 25 May 2014; published 29 July 2014)

Graphene on metal substrates often shows different electronic properties from isolated graphene because of graphene-substrate interactions. One needs to remove the metals with acids and then to transfer graphene to weakly interacting substrates to recover electrical properties inherent in graphene. This process is not easy and besides causes undesirable tears, defects, and impurities in graphene. Here, we report a method to recover the electronic structure of graphene from a strongly interacting Ni substrate by spontaneous Na intercalation. In order to characterize the intercalation process, the density-functional-theory calculations and angle-resolved photoemission-spectroscopy (ARPES) and scanning-tunneling-microscopy (STM) measurements are carried out. From the density-functional-theory calculations, Na atoms energetically prefer interface intercalation to surface adsorption for the graphene/Ni(111) surface. Unlike most intercalants, Na atoms intercalate spontaneously at room temperature due to a tiny diffusion barrier, which is consistent with our temperature-dependent ARPES and core-level photoemission spectroscopy, and with our submonolayer ARPES and STM results at room temperature. As a result of the spontaneous intercalation, the electronic structure of graphene is almost recovered, as confirmed by the Dirac cone with a negligible band gap in ARPES and the sixfold symmetry in STM.

DOI: 10.1103/PhysRevX.4.031016 Subject Areas: Computational Physics, Condensed Matter Physics, Graphene

I. INTRODUCTION

The discovery of unique transport properties of graphene prepared by mechanical exfoliation has spurred many new research activities for future electronic devices because of graphene’s intriguing energy band structure showing high carrier mobility [1]. Many efforts have been devoted to growing high-quality and large-area graphene on SiC [2–4] and metal substrates [5–10]. To date, chemical vapor deposition (CVD) on metallic substrates has been the most efficient method to produce large-area graphene layers [6–8]. Ni and Cu surfaces among metal substrates are the most representative examples for large-scale graphene synthesis because of the transfer ability to other substrates [11,12]. However, the quality of graphene grown on foils or films of these substrates by CVD is not as high as that of graphene obtained by mechanical exfoliation, due to the existence of domains with different crystallographic orientations. A recent report on graphene grown by CVD showed lots of grain boundaries consisting of Stone-Whales-type defects [13]. In particular, graphene grown on even Cu single crystals has multidomain structures with different orientations due to weak interactions between carbon atoms in graphene and Cu atoms [14].

On the other hand, since the lattice of graphene is well matched to that of Ni, graphene grown on a single-crystal Ni (111) surface does not show different in-plane orientations [15]. However, the unique electronic structure of graphene exhibiting linear dispersion disappears due to the strong interaction of graphene with the Ni substrate [16]. To restore the pristine electronic structure of graphene, it is essential to remove the substrate with acids and then to transfer graphene to weakly interacting substrates. However, removing the metal substrate from a graphene/metal system and transferring the graphene to other substrates is not an easy task [6,11], and these processes can cause undesirable tears, defects, and impurities in graphene [17,18].
Some metals have been reported to be intercalated between graphene and a Ni substrate. For example, noble metals such as Au, Ag, and Cu were experimentally and theoretically found to be intercalated [19–25]. In particular, Au intercalation was observed to recover the Dirac cone close to that of the freestanding graphene near the Fermi energy [22–24]. Al also gives rise to a similar Dirac-cone structure after intercalation [26]. In the case of alkali metals, energy gaps of approximately 1.3, 0.8, and 0.7 eV were observed for Na, K, and Cs [27,28], respectively.

In this paper, we show that Na can be used to restore the electronic structure of graphene from a strongly interacting Ni(111) surface that is characterized by using density-functional-theory (DFT) calculations, angle-resolved photoemission spectroscopy (ARPES), core-level photoemission spectroscopy (PES), and scanning tunneling microscopy (STM). From the DFT calculations, Na atoms energetically prefer interface intercalation to surface adsorption. When compared to noble metals and Al, which require thermal annealing at elevated temperatures of a few hundreds of °C for intercalation, Na atoms adsorbed on the graphene surface penetrate into the graphene far below room temperature (approximately −100 °C), and thus intercalation takes place spontaneously even at room temperature. This seems to be closely related with a tiny diffusion barrier for Na atoms. The spontaneous Na intercalation makes the graphene layer nearly electronically ideal, thereby showing its inherent sixfold symmetry and characteristic bands featuring the Dirac-cone structure with a negligible band gap.

Because the Na layer can be easily oxidized even in ultrahigh vacuum, one can realize an ideal graphene on an insulating support without etching the Ni substrate and then transfer the graphene to other insulating substrates.

### II. DFT CALCULATIONS

#### A. Computational scheme

We carry out DFT calculations by employing the Vienna *ab initio* simulation package [29] with the use of the spin-polarized local density approximation and the projector-augmented-wave method with a plane-wave basis set of 400 eV [30,31]. The Ni(111) surface is simulated by a periodic slab geometry with a slab thickness of five atomic layers and a vacuum spacing of about 16 Å. The *k*-point sampling is done on a 4 × 4 × 1 mesh for the Ni(111) 2 × 2 supercell. All atoms but the bottom two Ni layers are relaxed until the residual force components are within 0.03 eV/Å. The lattice mismatch between freestanding graphene (2.45 Å) and Ni(111) (2.42 Å) is 1.2% [22]. The graphene is set to match the lattice of the Ni(111) surface. The reverse-matching procedure is found to produce no noticeable difference in the calculated graphene *π* band of the graphene/Na/Ni(111) surface.

#### B. Structural model of the graphene/Ni(111) substrate

For the graphene/Ni(111) surface, the C atoms of graphene are aligned on the top and fcc sites of Ni(111). This top-fcc model was proposed in a low-energy electron-diffraction study by Gamo et al. [32] and has been supported by several DFT studies as the most stable adsorption configuration [33–35]. Our calculated adsorption energy of 0.09 eV/C and graphene-Ni interlayer spacing of 2.08 Å are in good agreement with previous DFT studies [33–35].

#### C. Optimized structures and energetics

Na atoms doped on graphene/Ni(111) may adsorb on the graphene layer or intercalate between the graphene and the Ni(111) substrate. Therefore, in the present calculations, we have considered both (adsorption and intercalation) possibilities for given Na coverages. Figure 1 shows the intercalated graphene/Na/Ni(111) structures obtained for different coverages. As seen in Figs. 1(a)–(d), the intercalated Na atoms prefer the hcp hollow site of Ni(111) just below the center of the graphene hexagon. The case of 1.07 ML [Fig. 1(e)] is rather exceptional. Since the energy difference between the top and hollow sites for an isolated Na atom is as small as 0.06 eV, a hexagonal arrangement of
Na is energetically preferred due to the Na-Na repulsion in such a dense coverage. For the adsorption cases, Na atoms prefer the center of the graphene hexagon from 0.16 to 0.83 ML, and a hexagonal arrangement of Na is energetically preferred at 1.07 ML. The resulting energy-optimized Na configurations have the same lateral positions as the structures shown in Fig. 1, with the Na atoms placed over the graphene/Ni(111) surface.

Table I shows the interlayer spacing of the Na/graphene/Ni(111) and graphene/Na/Ni(111) surfaces. When adsorbed on the graphene surface, the equilibrium Na-graphene distance increases from 2.26 Å at 0.16 ML to 2.64 Å at 1.07 ML, indicating that the bonding between Na and graphene weakens with the coverage due to stronger Na-Na bond formations. It is notable that the adsorbed Na atoms little affect the structure of the graphene/Ni(111) substrate. Na intercalations lead to a large separation of graphene from the Ni substrate: The resulting graphene-Na and graphene-Ni distances are about 2.4 and 4.8 Å, respectively, which are somewhat insensitive to the Na coverage. With the relatively large graphene-Na distance (approximately 2.4 Å), the interaction of graphene with the Na/Ni(111) substrate is likely weaker than with the Ni(111) substrate.

Figure 2 compares the adsorption and intercalation energies as a function of the Na coverage, which represents the energy gain per Na atom obtained by adsorption or intercalation \( E = -(E_{\text{doped}} - E_{\text{clean}} - N \times E_{\text{Na}})/N \), where \( E_{\text{doped}}, E_{\text{clean}}, \) and \( E_{\text{Na}} \) are the total energies of the Na-doped surface, the clean graphene/Ni(111) surface, and a free Na atom, respectively, and \( N \) is the number of Na atoms in the unit cell. Interestingly, Na atoms energetically prefer interface intercalation to surface adsorption. Except for the low coverage of 0.16 ML, Na intercalation is favored over surface adsorption with more energy gains of about 1 eV. Even at the coverage of 0.16 ML, the adsorption energy (0.24 eV) is much smaller than the bulk cohesive energy of Na (1.27 eV), so Na atoms may form dense islands rather than forming isolated adsorption states through feasible surface diffusions with such a low diffusion barrier as 0.03 eV.

It would be interesting to compare the Na case with the adsorption and intercalation behavior of H atoms on the graphene/Ni(111) surface, since H atoms are also widely used for manipulating the band structure of substrate-supported graphenes [36–39]. In our calculations for the adsorption and intercalation of a single H atom on the graphene/Ni(111)-(2 \times 2) surface, we find that its adsorption energy is 2.75 eV, which is energetically comparable with the intercalation energy (2.83 eV). Moreover, the adsorbed H atom has a large barrier of 0.71 eV for surface diffusion on the graphene layer, which is likely to make the intercalation of H atoms more difficult than the Na case. It is worth mentioning the recent ARPES studies for the intercalation of H and Na on the graphene/SiC(0001) surface, where H atoms intercalate at a much higher temperature of 400°C [38] while Na atoms intercalate at 75°C [39].

D. Dirac-point shift and band structures

Figure 3 shows the Dirac-point shift of graphene/Na/Ni(111) as a function of the Na coverage. In all Na coverages considered, we find that the graphene \( \pi \) bands form a well-defined Dirac point. It is notable that a small intercalation of 0.16 ML is enough to recover the

<table>
<thead>
<tr>
<th>Coverage</th>
<th>( d(\text{Na-Gr}) )</th>
<th>( d(\text{Gr-Ni}) )</th>
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<tbody>
<tr>
<td>Gr/Ni(111)</td>
<td>0 ML</td>
<td>2.08</td>
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<tr>
<td>Na/Gr/Ni(111)</td>
<td>0.16 ML</td>
<td>2.26 2.10</td>
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<td></td>
<td>0.36 ML</td>
<td>2.30 2.11</td>
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<td></td>
<td>0.63 ML</td>
<td>2.37 2.12</td>
</tr>
<tr>
<td></td>
<td>0.83 ML</td>
<td>2.44 2.12</td>
</tr>
<tr>
<td></td>
<td>1.07 ML</td>
<td>2.64 2.12</td>
</tr>
<tr>
<td>Gr/Na/Ni(111)</td>
<td>0.16 ML</td>
<td>2.42 4.84</td>
</tr>
<tr>
<td></td>
<td>0.36 ML</td>
<td>2.36 4.75</td>
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<tr>
<td></td>
<td>0.63 ML</td>
<td>2.39 4.74</td>
</tr>
<tr>
<td></td>
<td>0.83 ML</td>
<td>2.43 4.83</td>
</tr>
<tr>
<td></td>
<td>1.07 ML</td>
<td>2.47 4.82</td>
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The graphene/Ni(111) band structure is that the Dirac-cone deposition. In Fig. 4(a), the important feature of the graphene/Ni(111) surface before and after 0.63-ML Na coverage of 0.63 ML in the following band structure. The vertical solid line in the surface Brillouin zone represents the calculated line. The Fermi energy is set to 0, and the bands of ideal graphene are given as the reference by the thin solid lines. The filled red (open green) circles represent C-derived (Ni-derived) surface states that contain more than 20% (40%) of charge in the graphene layer (topmost Ni layer). The size of the circles is proportional to the amount of charge localized in the graphene and the Ni layer. Open blue squares represent the Na-derived surface states that contain more than 5% of charge in the Na layer.

Dirac-cone shape of ideal graphene. The Dirac point shifts down with coverage up to 0.63 ML. The shift depends on the amount of charge transfer to the graphene layer, and it would be expected to be proportional with the Na coverage in the low-coverage regime. After 0.63 ML, the downward shift of the Dirac point is almost saturated at about −1.2 eV, implying that additional Na doping little affects the graphene π bands. For this reason, we will focus on the Na coverage of 0.63 ML in the following band structure.

Figure 4 shows the majority-spin band structures of the graphene/Ni(111) surface before and after 0.63-ML Na deposition. In Fig. 4(a), the important feature of the graphene/Ni(111) band structure is that the Dirac-cone shape of graphene π bands is significantly disturbed: The π band dispersions are not linear any longer with a gap opening of about 0.4 eV through a strong hybridization with the Ni d states near the Fermi level. Interestingly, in Fig. 4(b), the adsorbed Na atoms little affect the electronic structure of graphene/Ni(111). The adsorbed Na atoms form metallic bands (still mostly empty at this coverage), but there is no strong hybridization between the Na-derived states and the graphene π bands. The graphene bands are slightly changed with a small shift of −0.21 eV (−0.12 eV) at the K' point in filled (empty) states and a small increase in the π band gap of 0.5 eV. In contrast, as seen in Fig. 4(c), Na intercalation perfectly recovers the Dirac-cone shape of ideal graphene. We find that, due to the interaction with Na, the graphene bands shift downward by approximately 1.2 eV from the Fermi level, indicating a large charge transfer from the Na/Ni(111) surface to the graphene layer. While the Na atoms interact strongly with the Ni substrate, the Na-Na interaction is so weak that the Na-derived states are empty at approximately 3 eV above the Fermi level.

III. EXPERIMENTAL RESULTS AND DISCUSSION

A. Experimental details

A clean Ni(111) surface is prepared by applying many successive cycles of Ar⁺ sputtering for 30 min and then heating for 2 min at approximately 700°C in an ultrahigh-vacuum chamber. After confirming the Ni(111) surface cleanliness with low-energy electron diffraction and ARPES, a graphene layer is grown in an ambient C2H2 pressure of 1.0–5.0 × 10⁻⁶ Torr and a sample temperature of approximately 700°C. The ARPES studies are first performed at the beam line 10D and later reproduced at the beam line 4A2 of the Pohang Accelerator Laboratory (PAL), equipped with a Scienta R4000 electron analyzer, which provides an overall energy resolution of approximately 50 meV at approximately 34 eV. All photoemission measurements are made at a pressure of 1.0 × 10⁻¹⁰ Torr. The temperature-dependent ARPES and core-level PES data are taken using the PHOIBOS 150 analyzer at the 10D beam line of PAL. We use a 3-mm entrance slit of the analyzer to monitor the change in band structure within a very short time upon transition from adsorption to intercalation. So, the band gap looks exaggerated in the ARPES data. The sample is cooled down to −140°C by liquid nitrogen. A commercial (SAES) getter source is used to deposit Na atoms on the graphene. The STM experiments are performed at room temperature. In order to make a clean Ni surface and graphene, the same method employed in the ARPES experiments is used.

B. ARPES

The graphene on the Ni surface intercalated with Na atoms has theoretically no band gap, whereas a large energy gap of approximately 1.3 eV was observed experimentally [27]. This discrepancy might be due not only to the lower energy and angle resolutions but also to the sample that is still interacting with the substrate. So, we have revisited ARPES experiments. We have performed more detailed ARPES experiments, including coverage and temperature dependency, as well as core-level PES and STM experiments.

Figure 5 shows the electronic band structure measured by ARPES of the as-grown graphene on Ni(111) and the graphene/Ni(111) intercalated with Na near the Γ and K points. By inspecting the energy band dispersions of the as-grown graphene/Ni(111) sample shown in Figs. 5(a) and 5(c), the binding energies (BEs) of the π state are observed to be about −10 and −2.7 eV at the Γ and K points, respectively, in agreement with previously reported values [18,40]. This can be explained by strong hybridization of the π orbitals of graphene and the d orbitals of the Ni substrate.
Ni(111) metal surface. The $3d$ states of the clean Ni metal are also shown at BEs of about $-0.2$ and $-1.6$ eV.

Figures 5(b) and 5(d) show the electronic band structures after Na intercalation near the $\Gamma$ and $K$ points, respectively. While the $\sigma$, $\pi$, and Ni $3d$ bands are not considerably changed near the $\Gamma$ point, a characteristic $\pi$ band appears near the $K$ point. The introduction of Na atoms to the interface between the graphene and the Ni(111) surface weakens the strong hybridization between C $2p$ and Ni $3d$ orbitals, causing the increase of distance between the graphene and the metal substrate. Because the graphene layer detaches from the Ni(111) surface, the linear band dispersion is completely recovered.

Figure 5(e) shows a close-up of the energy band structure of Na-deposited graphene/Ni(111) near the Fermi energy, as measured by ARPES with higher energy and momentum resolutions. It clearly shows two single $\pi$ bands that meet at the Dirac point located at about $-1.25$ eV, indicating a negligible band-gap opening. Even if the amount of Na adsorption is changed, the resulting electronic band structures do not significantly change; two bands of as-grown and Na-deposited graphene at low coverage are simultaneously observed. This will be discussed more later. The Na atoms break the chemical interaction of the graphene and the Ni substrate, changing the electronic structure of the graphene/Ni(111) system toward that of a quasi-free-standing graphene. According to our DFT calculations, a simple adsorption of Na atoms on top of the graphene/Ni(111) system does not recover the Dirac-cone band structure of graphene. As will be shown below, the adsorbed Na atoms fully penetrate beneath the graphene layer.

Individual energy-distribution curves of the Na-intercalated graphene/Ni(111) are shown in Fig. 5(f) with the $K'$-point spectrum indicated in blue. No distinct separation between the valence and conduction bands near the Dirac point is observed. In addition, the energy-distribution curves indicate that kinks appear around the $K'$ point. These kinks originate from a finite self-energy due to many-body interactions. This observation agrees well with previous reports in two ways [41]. First, a strong kink [denoted by the arrow in Fig. 5(f)] is observed at a BE of 0.2 eV below the Fermi energy, which is due to renormalization of the electron bands by electron-phonon coupling [41]. Second, the actual linear band deviates from the ideal dispersion (or single-particle prediction) near the Dirac energy. This deviation can originate from electron-plasmon scattering due to many-body effects. Similar behaviors were reported theoretically from first-principles calculations [35] and also in experimental results of graphene with chemical dopants [42]. However, the small band-gap opening could not be entirely neglected [43].

Figures 6(a) and 6(b) show the electronic structure of the graphene grown on the Ni(111) surface at the $\Gamma$ and $K$ points, respectively, after depositing a small amount of Na atoms at room temperature. While the structure at the $\Gamma$ point is almost the same, that at the $K$ point is considerably changed. The original $\pi$ band becomes faint, and two additional bands that meet at a Dirac point appear. This
indicates that a small amount of Na atoms is intercalated and recovers the Dirac-cone shape at local regions. This result seems to be closely related with a tiny diffusion barrier for Na atoms. As explained in the theoretical part, the diffusion barrier is as small as 0.03 eV for Na atoms on the graphene/Ni(111) surface. Therefore, Na atoms spontaneously intercalate and then form a stable structure as stable as that at the Na saturation coverage locally, leading to two different band structures from both Na-intercalated and clean graphene regions. One might expect an overlayer adsorption on graphene at a lower temperature than room temperature by restricting surface diffusion. We have accordingly performed temperature-dependent ARPES and core-level PES experiments.

C. Temperature-dependent ARPES and core-level PES

Figure 7 shows temperature-dependent ARPES data taken using the PHOIBOS 150 analyzer at the 10D beam line of the PAL. After confirming the formation of graphene by measuring the energy band at the Γ and K points, the sample is cooled down to −140 °C by liquid nitrogen. Figure 7(a) shows the band structure around the K point at −140 °C, Ni 3d and the π band of graphene are observed. Although Na atoms are deposited on the graphene layer at −140 °C, the band structure near the K point does not change, as shown in Fig. 7(b). When the temperature reaches around −100 °C, however, the band structure is considerably changed; that is, the linear bands appear as shown in Fig. 7(c), indicating that the Na atoms are intercalated between the graphene and the Ni surface. No change in the band structure is observed with increasing temperature up to +20 °C, as shown in Fig. 7(d).

The temperature dependence of the Na intercalation is also confirmed by PES spectra for C 1s and Na 2p core levels. Figure 8 shows PES spectra taken with a photon energy of 360 eV from the clean graphene grown on the Ni surface measured at −140 °C (solid black line). The Ni 3p and Ni 4d peaks are observed near 66 and 0 eV, respectively. A sharp C 1s peak is found near approximately 284.5 eV due to the presence of a single-layer graphene. The intensity of the C 1s peak is reduced, and a Na 2p peak appears at approximately 31 eV after Na deposition at −140 °C (solid red line), indicating that the graphene is covered with Na atoms. The intensity of the C 1s peak increases, while that of the Na 2p peak decreases with increasing temperature up to +20 °C (solid green line). The C 1s/Na 2p peak intensity ratio changes from 3.72 at −140 °C to 4.23 at +20 °C. This means that the Na atoms adsorbed on the graphene at −140 °C penetrate below the layer as temperature increases above the critical temperature.

D. STM

It is characterized by STM to find out whether Na atoms are intercalated between the graphene and the substrate or not.
adsorbed on the graphene. Figure 9(a) shows the STM image of a large area of graphene on the Ni surface taken at room temperature. No domain boundary is visible on the terrace. In contrast, on the Cu surface, the domain size is quite small with many domain boundaries [44]. Figure 9(b) shows a zoomed-in STM image of the squared region in (a): 100 × 100 Å, , and . Figure 9(c) shows the STM image after Na intercalation: 100 × 100 Å, , and . Figure 9(d) shows an atomically resolved STM image of (b): 20 × 20 Å, , and . Figure 9(e) shows an atomically resolved STM image of (c): 30 × 30 Å, , and .

FIG. 9. STM topography images of a graphene grown on the Ni surface. (a) Large-area scan of the graphene before Na intercalation: 2000 × 2000 Å, , and . (b) Zoomed-in STM image of the squared region in (a): 100 × 100 Å, , and . (c) STM image after Na intercalation: 100 × 100 Å, , and . (d) An atomically resolved STM image of (b): 20 × 20 Å, , and . (e) An atomically resolved STM image of (c): 30 × 30 Å, , and .

IV. CONCLUSION

In conclusion, we report a spontaneous Na intercalation, recovering the electronic structure of graphene from a strongly interacting Ni substrate that is characterized by using DFT calculations and ARPES, PES, and STM measurements. The introduction of Na atoms on the graphene/Ni(111) surface energetically prefers intercalation into the interface over simple surface adsorption. Unlike most intercalants, such as Au, Al, etc., Na atoms adsorbed on the graphene surface penetrate into the graphene far below room temperature (approximately −100°C) and thus the intercalation takes place spontaneously even at room temperature. This seems to be related closely with the tiny diffusion barrier for Na atoms. The spontaneous intercalation makes the graphene layer nearly electronically ideal, thereby showing its inherent sixfold symmetry and characteristic bands featuring the Dirac-cone structure with a negligible band gap. The intercalated Na atoms could be easily oxidized to become an insulator. Thus, it provides new possibilities toward the high-quality, large-area, and quasi-free-standing graphene on metal or insulating substrates without etching and transferring processes.

ACKNOWLEDGMENTS

This work was supported by the National Research Foundation of Korea (NRF) through the SRC Center for Topological Matter (No. 2011-0030787) and the National Honor Scientist Program (2010-0020414), and by KISTI (KSC-2011-G3-02) and the Basic Science Research Program (No. 2011-0008907). C. C. H. also acknowledges financial support by the NRF (No. 2009-0083380 and No. 2011-0009427) and by projects of POSCO. The experiments at PLS were supported in part by MSIP and POSTECH.


